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PROGRAM INSTRUCTION

TO: Indian Tribes, Indian Tribal Organizations or Indian Tribal Consortia Administering Title IV-B, subparts 1 and/or 2 of the Social Security Act, Title IV-E of the Social Security Act; Tribal Independent Living and Education and Training Voucher Coordinators.

SUBJECT: June 30, 2014 Submission of: (1) the Child and Family Services Plan (CFSP) Final Report for fiscal years (FYs) 2010-2014; (2) the Child and Family Services Plan for FYs 2015-2019 for the Stephanie Tubbs Jones Child Welfare Services and Promoting Safe and Stable Families (PSSF) Programs, the Chafee Foster Care Independence Program (CFCIP) and the Education and Training Vouchers (ETV) Program; and (3) the CFS-101, Part I, Annual Budget Request, Part II, Annual Summary of Child and Family Services, and Part III, Annual Expenditure Report—Title IV-B, Subparts 1 and 2, CFCIP and ETV, as applicable.

LEGAL AND RELATED REFERENCES: Title IV-B, subparts 1 and 2, Sections 421-425, 428, 430-438, and Title IV-E, Section 477 of the Social Security Act; the Indian Child Welfare Act of 1978 (P.L. 95-608); the Indian Self-Determination and Education Assistance Act (P.L. 93-638); and 45 CFR Parts 1355 and 1357.

PURPOSE: This Program Instruction (PI) provides guidance to Indian Tribes, Tribal Organizations and Tribal Consortia (tribes) on actions they are required to take in order to receive their allotments for FY 2015 (subject to the availability of appropriations) authorized under title IV-B, subparts 1 and/or 2, the Chafee Foster Care Independence Program (CFCIP), and the Education and Training Vouchers (ETV) program.

In order to receive funds for FY 2015, tribes must develop and submit by June 30, 2014:

- A final report for FYs 2010-2014 on services provided under the CFSP, that encompasses title IV-B, subparts 1 and 2 (applicable only to tribes that received title IV-B funds in any of those years) and the CFCIP (applicable only to tribes that directly receive CFCIP);

- A five-year CFSP for FYs 2015-2019 integrating the tribe's title IV-B, subparts 1 and/or 2, and, if the tribe is eligible and opts for direct funding, the title IV-E section 477 programs (CFCIP and ETV); and the
- CFS-101, Parts I, II and III, if applicable.

The final allocations for these programs for FY 2014 are attached. (See Attachment A)

INFORMATION: Organization of the Program Instruction:

Section A. Background

Section B. Instructions for Final Report for Child and Family Services Plan for FYs 2010-2014

Section C. Preparing to Develop the Child and Family Services Plan for FYs 2015 - 2019

Section D. Instructions for the Child and Family Services Plan for FYs 2015 - 2019

Section E. Requirements for Title IV-E Training Plans (Applicable Only to Tribes Operating or Planning to Operate a Title IV- E Program)

Section F. Chafee Foster Care and Independence and Education and Training Vouchers
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Section A. Background:

The CFSP is a five-year strategic plan that sets forth the vision and the goals to be accomplished to advance the tribe's overall child welfare system. A primary purpose of the plan is to strengthen the programs that serve children and families, including title IV-B, subparts 1 and 2 of the Social Security Act (the Act), into a continuum of services for children and their families. For eligible tribes that choose to apply for direct funding under the CFCIP and/or ETV programs, the CFSP also addresses the tribe's plans for those programs.

The tribe's CFSP should plan comprehensively for the full array of child welfare services, from prevention and protection through permanency. The plan must set forth goals and objectives for achieving a system of coordinated, integrated, culturally relevant, family-focused services. The provision of services under the plan must be coordinated with other federal and federally-assisted programs serving children, youth and families.

The goals and objectives of the plan must address one or more of the following areas:

- safety of children;
- permanency for children;
- well-being of children and their families; and
- the nature, scope, and adequacy of existing child and family and related social services.

After each five-year period, tribes must base the development of the next five-year plan on a final review of the accomplishments and identified needs from the previous five-year plan, including any new information (45 CFR 1357.16(f)).

Federal regulations at 45 CFR 1357 outline requirements for the CFSP, including information specific to tribes. Although these regulations refer to numerous dates and timelines that were useful at the introduction of the integrated plan concept, the dates have since passed. However, the required elements of the comprehensive five-year CFSP, the Annual Progress and Services Reports (APSRs) and the final report on the progress made toward accomplishing the goals and objectives in the CFSP continue to be applicable. (The obsolete regulations and other variances between current law and regulations are listed at Attachment E.)

Since the CFSP process began in 1994, there have been four complete five-year plan cycles (FYs 1995-1999, FYs 2000-2004, FYs 2005-2009 and FYs 2010-2014). The next CFSP, **due June 30, 2014**, will lay out the strategic plan for FYs 2015-2019.

Throughout the past twenty years, the Children's Bureau (CB) has used PIs to inform and instruct tribes regarding the CFSP and the requirements of the programs involved. Previous PIs can be found on the internet at: www.acf.hhs.gov/programs/cb/laws_policies/index.htm#policy. A draft compilation of the revised Social Security Act can be found at <http://www.acf.hhs.gov/programs/cb/resource/safe2010draft>.

Please note that tribes that plan to submit a title IV-E plan to operate a Foster Care, Adoption Assistance and, at tribal option, Guardianship Assistance Program, **must also have an approved plan for title IV-B, subpart 1**. Both plans can be submitted for the same fiscal year. **Therefore, a tribe that wants to submit a plan to operate directly a title IV-E program in FY 2015, must submit a CFSP to apply for title IV-B, subpart 1 by June 30, 2014, as described further below.**

Section B. Instructions for Final Report for the CFSP for Fiscal Years 2010-2014

Each tribe that received funding under title IV-B, subpart 1 (the Stephanie Tubbs Jones Child Welfare Services Program) and/or title IV-B, subpart 2 (Promoting Safe and Stable Families Program) for any of the fiscal years 2010 – 2014 must conduct a review of the progress made toward accomplishing the goals and objectives in the CFSP. When conducting the final review, the tribe must involve the agencies, organizations, and individuals that are a part of the ongoing CFSP-related consultation and coordination process. The final report may be submitted in the format of the tribe's choice.

The final report must:

- Describe the specific accomplishments and progress made toward meeting each goal and objective in the tribe's CFSP for FYs 2010-2014, including information on outcomes achieved for children and families, and development of a more comprehensive, coordinated, effective child and family services continuum.

- Incorporate an analysis of how performance has changed, referencing baseline data and other information gathered through annual reviews.
- Describe any barriers or unexpected events that may have had an impact on the accomplishment of the CFSP plan's goals and objectives.
- Describe how services or program design have changed due to the tribe's own evaluation or assessment of programs that the tribe has found particularly effective or ineffective.

The Final Report may also include any other information a tribe wishes to include relating to the CFSP efforts.

The final report must be made available to the public, as required by 45 CFR 1355.21.

Section C. Preparing to Develop the Child and Family Services Plan for FYs 2015 – 2019:

In preparing to develop the new CFSP, tribes should begin by assembling key stakeholders to conduct a review of or the development of a **vision** for the tribe's child and family services program, and a **self-assessment** of the tribe's current capacity to serve children and families. The tribe is also required to engage in joint planning with the Children's Bureau Regional Office (RO) when developing the CFSP. This section provides some general information, suggestions and definitions that may be useful in support of this work.

Visioning: Visioning means the ability to "see" exactly where one wants to go and why one needs to get there. Visioning enables an agency to review the potential effects of current and projected forces/issues, define and assess core competencies and values, develop desired future scenarios and begin to plot a strategy for achieving its vision for the future.

Visioning and goal setting are important aspects of the CFSP. Tribes should revisit, and, if appropriate, revise their past written vision statements to ensure that they remain valid, practical and timely and that they continue to move the tribe's child welfare systems forward toward positive, integrated services for tribal children and their families.

Assessment: An assessment is a product developed by gathering, analyzing, and synthesizing information to identify areas of strength and areas of concern.

A basic assessment involves three steps:

1. Gather information on agency operations and performance, including data reports from internal or external sources. As examples, tribes may wish to compile information on issues such as rates of child abuse and neglect for tribal children, the number of tribal children in foster care, the number of foster homes available on or near the reservation or tribal community, information on lengths of stay in foster care, permanency outcomes for children in foster care, as well as information on service availability and utilization. In

addition to child welfare data and service information, tribes may wish to review reports from other agencies and service providers serving tribal children and families (e.g., programs addressing substance abuse, domestic violence, behavioral health, schools, developmental disabilities, etc.). Tribes may want to expand upon available information with newer information, as needed (i.e., conduct surveys or convene focus groups, gain feedback from community meetings and forums, etc.).

2. Synthesize and analyze the information (i.e. prepare charts and graphs, write narrative summaries of numerical data, convene broader planning groups in order to determine agency strengths and weaknesses based on the information gathered). Section 45 CFR 1357.16(e) and section 432(a)(2)(C)(ii) require the Tribe to analyze its progress toward accomplishing the goals and objectives of the previous 5-year plan and to submit a final report along with the new CFSP. This process will also help the Tribes to examine their previous goals and utilize that knowledge when determining the goals of the next 5-year plan.
3. Draw conclusions and consider what steps the agency can take to improve performance in key areas.

Joint planning: Joint planning means an ongoing partnership process between the Administration for Children and Families (ACF)/CB and a tribe in the development, review, analysis, and refinement and/or revision of the tribe's CFSP. Joint planning involves discussions, consultation, and negotiation between the CB Regional Office staff and the tribe in all areas of CFSP creation such as, but not limited to, identifying the service needs of children, youth, and families; selecting the unmet service needs that will be addressed; developing goals and objectives that will result in improving outcomes for children and families; developing a plan to meet the federal financial matching requirements; and establishing a more comprehensive, coordinated and effective child and family services delivery system. The expectation of joint planning is that both ACF/CB and the tribe will reach agreement on substantive and procedural matters related to the CFSP. (See 45 CFR 1357.10 - Definitions.)

Consultation/Coordination: The CFSP requires consultation and coordination in a number of areas. As already mentioned, it must be jointly developed between the CB Regional Office and the tribal agency. The tribal agency must also consult with appropriate public and nonprofit private agencies and community-based organizations, including representatives of other federal or federally-assisted child and family services or related programs such as those funded or provided by the Bureau of Indian Affairs. It is important to remember that joint planning, consultation and coordination are part of an ongoing process to inform decisions about the use of federal funds and the delivery of child and family services, not activities to be engaged in only when CFSPs are due.

As detailed later in this PI, there are a number of specific provisions in law requiring states to consult with tribes relating to the delivery of child welfare services and there are also numerous opportunities for tribes and states to collaborate when planning for children and families.

Finally, Federal regulations at 45 CFR 1357.15(v) require state agencies and tribe to exchange copies of their CFSPs.

The Child and Family Services Review (CFSR) process also provides an opportunity for states and tribes to consult and coordinate. Tribal representatives should be involved in states' statewide assessments for the CFSR and the planning for services improvement through states' CFSR Program Improvement Plans (PIP).

Section D. Instructions for Five-Year Child and Family Services Plan for FYs 2015-2019

Development of the new CFSP continues the tribe's opportunity to implement a system of coordinated, integrated, culturally-relevant, family-focused services. The plan's vision and goals are to reflect the following **service principles** (45 CFR 1355.25):

- The safety and well-being of children and of all family members is paramount. When safety can be assured, strengthening and preserving families is seen as the best way to promote the healthy development of children. One important way to keep children safe is to stop violence in the family including violence against their mothers.
- Services are focused on the family as a whole; service providers work with families as partners in identifying and meeting individual and family needs; family strengths are identified, enhanced, respected, and mobilized to help families solve the problems which compromise their functioning and well-being.
- Services promote the healthy development of children and youth, promote permanency for all children and help prepare youth emancipating from the foster care system for self-sufficiency and independent living.
- Services may focus on prevention, protection, or other short or long-term interventions to meet the needs of the family and the best interests and need of the individual(s) who may be placed in out-of-home care.
- Services are timely, flexible, coordinated, and accessible to families and individuals, principally delivered in the home or the community, and are delivered in a manner that is respectful of and builds on the strengths of the community and cultural groups.
- Services are organized as a continuum, designed to achieve measurable outcomes, and are linked to a wide variety of supports and services which can be crucial to meeting families' and children's needs, for example, housing, substance abuse treatment, mental health, health, education, job training, child care, and informal support networks.
- Most child and family services are community-based, involve community organizations, parents and residents in their design and delivery, and are accountable to the community and the client's needs.

- Services are intensive enough and of sufficient duration to keep children safe and meet family needs. The actual level of intensity and length of time needed to ensure safety and assist the family may vary greatly between preventive (family support) and crisis intervention services (family preservation), based on the changing needs of children and families at various times in their lives. A family or an individual does not need to be in crisis in order to receive services. (See 45 CFR 1355.25.)

The process of coordination and collaboration implemented during the previous plan development, and continued annually for the APSR, should be continued in the development of the CFSP for FYs 2015 - 2019. The tribe should use information and data gathered through the previous CFSP and APSRs, if applicable, to inform the development of the CFSP's vision, goals, objectives, funding, and service decisions.

The CFSP must include information for any or all of the following programs for which the tribe is applying:

- **Stephanie Tubbs Jones Child Welfare Services Program (title IV-B subpart 1)**

Funds under this program may be used for:

1. protecting and promoting the welfare of all children;
2. preventing the neglect, abuse, or exploitation of children;
3. supporting at-risk families through services which allow children, where appropriate, to remain safely with their families or return to their families in a timely manner;
4. promoting the safety, permanence, and well-being of children in foster care and adoptive families; and
5. providing training, professional development and support to ensure a well-qualified child welfare workforce.

- **The Promoting Safe and Stable Families Program (title IV-B subpart 2)**

Funds under this program may be used to support services in the following four areas:

1. family preservation;
2. family support;
3. time-limited family reunification; and
4. adoption promotion and support services.

The Chafee Foster Care Independence Program (CFCIP); and the Education and Training Vouchers (ETV) Program

Funds under CFCIP are used to help youth in foster care and former foster youth ages 18-21 make a successful transition from foster care to self-sufficiency by providing educational, vocational and other services and supports. The ETV program provides funds for vouchers for postsecondary education and training. Information on tribal

eligibility for these programs and additional information on the use of funds under these programs is discussed in Section F of this PI.

Tribes must provide the information listed below in the CFSP. To assist tribes in completing the plan, the information includes both the requirement identified in Federal law and/or regulations and, in some instances, some additional information or examples that may help to clarify the requirement.

Optional CFSP template: To assist tribes in the development of the CFSP, CB has prepared a template (Attachment H). Use of the template is optional.

Tribal agency administering the programs (45 CFR 1357.15(f)):

- Identify the tribal agency that will administer the title IV-B (and, if applicable, CFCIP and ETV) programs under the plan. The same agency is required to administer or supervise the administration of all programs under titles IV-B and IV-E of the Act.
- Describe the organization and function of the office or organizational unit responsible for operation and administration of the CFSP.
- Provide an organizational chart and a description of how that office relates to tribal and other offices operating or administering service programs within the tribal service area.

Vision Statement (45 CFR 1357.15(g)): Provide a vision statement that articulates the tribe's philosophy of providing child and family services and developing or improving a coordinated service delivery system. The vision should reflect the service principles cited at the beginning of Section D of this PI. (The service principles are also found in Federal regulations at 45 CFR 1355.25.)

Goals (45 CFR 1357.15(h)): Specify the goals that will be accomplished during and by the end of the five-year period of the plan. Express the goals in terms of improved outcomes for the safety, permanency and well-being of children and families, and a more comprehensive, coordinated, and effective child and family service delivery system.

(Additional information: "Goals" are generally broad, positive statements, expressed in terms of improved outcomes that identify the population or group that will benefit. For example, a goal might be stated as, "Children who have been victims of abuse or neglect will not experience repeat maltreatment in their homes.")

Objectives (45 CFR 1357.15(i)): Identify realistic, specific, quantifiable and measurable objectives that will be carried out to achieve each goal. Each objective should focus on outcomes for children, youth and/or families or on elements of service delivery (such as quality) that are linked to outcomes in important ways. Each objective should include both interim benchmarks and a long-term timetable, as appropriate, for achieving the objective. The CFSP must include objectives to make progress in reaching additional children in need of services,

expanding and strengthening the range of existing services, and developing new types of services.

(Additional information: “Objectives” may be thought of as the strategies or initiatives that will help achieve the goal, while “benchmarks” represent key implementation milestones. For example an objective for the goal of reducing repeat maltreatment might be to “Increase the number of families that receive high quality family preservation services,” and benchmarks might include hiring staff, completing training, developing referral procedures, implementing assessment tools or other concrete action steps.)

Measures of progress (45 CFR 1357.15(j)): Describe how the tribe will measure the results, accomplishments, and annual progress toward meeting the goals and objectives. Specify the processes and procedures the tribe will use to assure use of valid and reliable data and information. The data and information must be capable of determining whether or not the interim benchmarks and multi-year timetable for accomplishing CFSP goals and objectives are being met.

(Additional information: In order to know whether the tribe is being successful in achieving its goals and objectives, it is important to identify sources of data that can be used to measure progress. This type of information may come from data that is collected by the tribe, by the state or could be information gathered through surveys or other approaches. Whatever measures the tribe identifies, it is important to establish procedures upfront to assure that data will be available on a timely and ongoing basis.)

Consultation and Coordination (45 CFR 1357.15(l) and (m)):

- Describe the consultation process the tribe has determined is appropriate to meet its needs and circumstances in order to obtain the active involvement of the offices responsible for providing child and family services within the tribe’s area of jurisdiction. The consultation process must include all the appropriate offices or agencies responsible for child and family services (including child protective services, in-homes services, foster care, guardianship, adoption and independent living services) in the tribe’s service area.
- Discuss how the tribe has involved the tribal and/or state courts and court improvement programs in the CFSP plan development.
- Discuss how the tribe has engaged stakeholders, including: families and youth, other agencies including the state child welfare agency; other federally-funded programs operated by the tribe or state, e.g. Temporary Assistance for Needy Families (TANF), Medicaid, Child Care, Head Start, Supplemental Nutrition Assistance Program (SNAP) (formerly known as the Food Stamps program), and Community-Based Child Abuse Prevention (CBCAP) programs; private agencies providing services to children and families, and community-based public and private providers for programs such as substance abuse treatment domestic violence, behavioral health, schools, developmental disability, etc.

- Describe how services under the plan will be coordinated over the five-year period with services or benefits under other federal or federally-assisted child and family services or programs serving the same populations to achieve the goals and objectives in the plan.

Service Description (45 CFR 1357.15(n)):

- Describe the services the tribe plans to provide under title IV-B, subpart 1 and under each category of title IV-B, subpart 2: family preservation; family support; time-limited family reunification; and adoption promotion and support services.
- Describe the services currently available to families and children; the extent to which each service is available and being provided in different geographic areas and to different types of families; and important gaps in service, including mismatches between available services and family needs as identified by baseline data and the consultation process.
- Describe the tribe's standards for the content and frequency of caseworker visits for children who are in foster care under the responsibility of the tribe, which, at a minimum, ensure that the children are visited on a monthly basis and that caseworker visits are well-planned and focused on issues pertinent to case planning and service delivery to ensure the safety, permanency and well-being of the children. If the tribe does not operate a foster care program, this provision is not applicable; please simply note that the tribe does not operate a foster care program (Section 422(b)(17)).
- Describe the activities the tribe has undertaken and plans to undertake to reduce the length of time that young children under age five are in foster care without a permanent family. Also, describe the activities the tribe undertakes to address the developmental needs of children under the age of five who receive services under the title IV-B or IV-E programs (section 422(b)(18) of the Act). (Note: This requirement applies to all children under age five in foster care, regardless of the child's permanency plan, legal or placement status.) For tribes that do not directly operate a foster care program and whose children are served by the state agency, describe the role of the tribe in working with the state to address these requirements relating to meeting the needs of young children in foster care.
- For tribes applying to receive funding under title IV-B, subpart 2, describe how the tribe identifies which populations are at the greatest risk of maltreatment and how the tribe targets services to the populations at greatest risk of maltreatment (section 432(a)(10) of the Act).
- Provide the estimated expenditures for all described services on the CFS-101.
- Present information on the estimated number of individuals and families to be served; the population to be served; and the geographic areas where the services will be available for

each described service. This information must be recorded on the CFS-101 part II and may also be further explained in the narrative portion of the plan.

Consultation Between States and Tribes: Federal law and regulations require consultation between states and tribes on several key child welfare issues, including compliance with the Indian Child Welfare Act; the arrangements for providing services in relation to permanency planning for tribal children, whether under the jurisdiction of the state or tribe; and the provision of independent living services under the CFCIP. In the CFSP, tribes are to address the following:

- Section 422(b) (9) of the Act requires states to consult with tribes and to describe the specific measures taken to comply with the Indian Child Welfare Act (ICWA). Describe how the state(s) in which the tribe is located or any other states have consulted with the tribe regarding state compliance with ICWA and any planned changes in policies, procedures or communications to strengthen compliance. Describe any concerns with respect to the states' consultation process or compliance with ICWA.
- Provide a description of the understanding, gathered from discussions between the state and tribe, as to who is responsible for providing the child welfare services and protections for tribal children delineated at Section 422(b)(8) of the Act, whether they are under state or tribal jurisdiction. These services and protections include operation of a case review system for children in foster care; a preplacement preventive services program; and a service program for children in foster care to facilitate reunification with their families, when safe and appropriate, or to place a child in an adoptive home, legal guardianship or other planned, permanent living arrangement. In describing roles with respect to the case review system, please discuss whether and how the state and tribe have addressed the requirement to obtain credit reports for tribal children ages 16 and older in foster care, as required by section 475(5)(I) of the Act, and any challenges that have been encountered in this process (45 CFR 1357.15 (q)).
- Describe how the tribe has been consulted about the programs to be carried out by the state under the CFCIP and explain the results of the consultation specifically as it relates to determining eligibility for benefits and services and ensuring fair and equitable treatment for Indian youth under the CFCIP (Section 477(b)(3)(G) of the Act).

Plan for diligent recruitment of potential foster and adoptive parents:

For tribes that operate foster care programs:

- Describe the tribe's plan for the diligent recruitment of potential foster and adoptive families that reflect the ethnic and racial diversity of children in the tribe for whom foster and adoptive homes are needed (section 422(b)(7) of the Act). Examples of components to include in the diligent recruitment plan are: a description of the characteristics of children for whom foster and adoptive homes are needed; specific strategies to reach all

parts of the community; strategies for assuring that all prospective parents have access to the home study process; and strategies for training staff and community partners.

For tribes that do not directly operate a foster care program and whose children are served by the state agency:

- Describe any activities the tribe is undertaking in coordination with the state agency to support diligent recruitment of foster and adoptive families.

CB funds the National Resource Center for Diligent Recruitment at AdoptUSKids to provide free services and materials to support states, tribes, territories, and courts as they recruit foster, adoptive, and kinship families and make lasting systemic changes to improve safety, permanency, and well-being outcomes for children and families. For additional information on diligent recruitment and a customizable tool to use in developing a diligent recruitment plan, please see <http://www.nrcdr.org>.

Health Care Oversight and Coordination Plan: Section 422(b)(15)(A) requires states and tribes to develop a plan for ongoing oversight and coordination of health care services for children in foster care, including their physical, mental, behavioral and dental health needs. This plan must be developed in coordination with the state title XIX (Medicaid) agency, and in consultation with pediatricians and other experts in health care, as well experts in and recipients of child welfare services.

Tribes are required to address how the Health Care Oversight and Coordination plan requirements are being met for tribal children in foster care. For tribes that do not directly operate a foster care program and whose children are served by the state agency, note the responsibility of the state for developing the Health Care Coordination Plan and for providing health care information as part of the transition plan development process and address any tribal involvement in those processes.

Tribes that operate a foster care program are required to submit a Health Care Oversight and Coordination Plan that includes an outline of:

- A schedule for initial and follow-up health screenings that meet reasonable standards of medical practice;
- How health needs identified through screenings will be monitored and treated, including emotional trauma associated with a child's maltreatment and removal from home;
- How medical information will be updated and appropriately shared, which may include the development and implementation of an electronic health record;
- Steps to ensure continuity of health care services, which may include establishing a medical home for every child in care;

- The oversight of prescription medicines, including protocols for the appropriate use and monitoring of psychotropic medications;
- How the tribe actively consults with and involves physicians or other appropriate medical or non-medical professionals in assessing the health and well-being of children in foster care and determining appropriate medical treatment; and
- Steps to ensure that the components of the transition plan development process required under section 475(5)(H) that relate to the health care needs of children aging out of foster care, including the new requirement to include options for health insurance, information about a health care power of attorney, health care proxy, or other similar document recognized under State law, and to provide the child with the option to execute such a document, are met.

Tribes that previously developed a Health Care Oversight and Coordination Plan are required to review the previously submitted plan, make any needed changes, and submit the plan with the CFSP, whether or not changes were made from the previously submitted version.

Disaster plans: Section 422(b)(16) requires tribes to have in place procedures providing for how the tribal programs assisted under title IV-B or IV-E of the Act would respond to a disaster. In the CFSP, the tribe must include a plan for how it would:

- Identify, locate, and continue availability of services for children under tribal care or supervision who are displaced or adversely affected by a disaster;
- Respond, as appropriate, to new child welfare cases in areas adversely affected by a disaster, and provide services to those cases;
- Remain in communication with caseworkers and other essential child welfare personnel who are displaced because of a disaster;
- Preserve essential program records; and
- Coordinate services and share information with states and other tribes.

Tribes are required to review their previously submitted disaster plan, make any needed changes, and submit the disaster plan with the CFSP, regardless of whether changes were made to the plan.

Training: For all tribes submitting a CFSP, discuss the tribe's child welfare services staff development and training plan in support of the goals and objectives of the CFSP which addresses the title IV-B programs covered by the plan.

Tribes with an approved title IV-E plan to operate directly a foster care, adoption assistance and, at the tribe's option, guardianship assistance program, are required to submit a combined title IV-B/ IV-E training plan, as required by 45 CFR 1356.60(b)(2). Information is included in Section E and Attachment G that describes the more detailed information that will need to be included in a combined title IV-B/IV-E training plan. This information is provided to assist tribes operating or preparing to operate a title IV-E program only.

Tribes who intend to submit a title IV-E plan to operate directly a foster care, adoption assistance and, at the tribe's option, guardianship assistance program in the future are not required to submit the title IV-E training plan with the CFSP due on June 30, 2014, but if approved to operate a title IV-E program must submit such information prior to submitting claims under title IV-E for reimbursement of allowable training costs.

Technical Assistance: Discuss any technical assistance that the tribe anticipates requesting from the CB's Training and Technical Assistance network as it implements current or new federal requirements.

Plan Availability: The Tribe must make the CFSP available to interested parties. The plan must describe how the CFSP will be made available. States and tribes are to share their plans with each other.

Assurances: Tribes are to submit the assurances and certifications necessary for plan approval for each program for which the tribe is applying for funds. Tribes are to submit with the CFSP the pages of Attachment C, signed by the appropriate tribal official, for each applicable program.

Certification of Population Count: Title IV-B funds are allotted to tribes based on the number of children under the age of 21 as reflected in Census Bureau data, unless a tribe has certified an alternative number that has been approved by the CB Regional Office. Tribes have the opportunity to update their certification numbers to reflect the number of children under age 21 in their populations. Tribes must send a statement certifying the revised number of children who have not yet reached their 21st birthday and provide a justification for the revision to their Regional Office. This certification must be signed by the Tribal enrollment officer and the Tribal president/chairperson and is due with the June 30th plan submittal. Because grant amounts for both states and tribes will be affected by the revisions, the Regional Office may ask for additional verification/documentation if the numbers vary significantly from the Census or previous data. (See Attachment F)

Special Note for tribes that are part of a Tribal Consortium or Native Association: Sometimes a tribe that has previously received title IV-B funding as part of a tribal consortium or Native Association decides to apply for funding on its own, rather than as part of the consortium or association. In these instances, it is important for the tribe applying separately to work with the consortium or association to ensure that tribal population information is updated appropriately. When applying for title IV-B funding for FY 2015, a tribe whose funding was previously included in the grant made to a tribal consortium or Native Association must submit with its CFSP a written statement signed by both the tribe and tribal consortium/Native Association stating that the tribe will no longer be considered a member of the consortium or association for

purposes of title IV-B funding. In these instances, it may be necessary for both the tribe and consortium/Association to submit an updated “Certification of Tribal Population Under Age 21” (Attachment F) to ensure that ACF has accurate information on the population data to be used in calculating grant awards. Tribes, tribal consortia and Native Associations in this situation must work closely with their Regional Office to address this issue.

Section E. Requirements for Title IV-E Training Plans (applicable only to tribes directly operating or planning to operate title IV-E program)

Tribes that have already submitted a title IV-E plan to operate directly a foster care, adoption assistance and at the Tribe’s option guardianship assistance program pursuant to section 479B of the Act, are required to submit a title IV-E training plan as required by 45 CFR 1356.60(b)(2). The title IV-E training plan must be combined with the title IV-B training plan. The training plan for tribes with an approved title IV-E plan is to include a staff development and training plan in support of the goals and objectives of the CFSP which addresses the title IV-B programs, as well as training in support of the title IV-E program. Training must be an ongoing activity and must include content from various disciplines and knowledge bases relevant to child and family services policies, programs, and practices. Training content must also support the cross-system coordination and consultation basic to the development of the CFSP.

Federal regulations at 45 CFR 235.63 through 235.66(a) describe the conditions under which Federal matching funds are allowable as training. Among the conditions to be considered are who is being trained (including its specific relevance to the identified administrative activities allowable under Title IV-E), the scope and duration of the training, and the sources of non-Federal matching. Certain costs for title IV-E agency staff participating in title IV-E trainings (i.e. trainees) of any duration are now entitled to Federal matching at the 75 percent rate as specified in an approved cost allocation plan (section 474(a)(3) of the Act). Federal requirements governing the cost sharing for training activities among all benefiting programs and funding sources are discussed generally in OMB Cost Principles in federal regulations at 2 CFR Part 225, and more specifically in the Children’s Bureau’s Child Welfare Policy Manual at section 8.1H. Federal regulations pertaining to training are at 45 CFR 1356.60(b), 45 CFR 1357.15(t)(1) and 45 CFR 235.63 - 235.66(a).

P.L. 110-351 amended section 474(a)(3)(B) of the Act to create new trainee groups under which Title IV-E agencies may claim the costs of short-term training of: relative guardians provided the title IV-E agency has opted in its title IV-E plan to provide kinship guardianship assistance payments to relatives; staff members of state/tribe-licensed or state/tribe-approved child welfare agencies providing services to children receiving title IV-E assistance; staff members of child abuse and neglect courts personnel; agency attorneys, attorneys representing children or parent; guardians ad litem, or other court-appointed special advocates representing children in proceedings of such courts, in ways that increase the ability of such current or prospective parents, guardians, staff members, institutions, attorneys, and advocates to provide support and assistance to foster and adopted children and children living with relative guardians, whether incurred directly by the state or by contract. The federal financial participation (FFP) rate of federal reimbursement for allowable costs in such training is 75 percent; however, this rate is not available to cover the costs of any salary or fringe benefits for the categories of individuals

specified in section 474(a)(3)(B) of the Act (e.g., professional partners working for agencies other than the title IV-E agency) who may participate in allowable title IV-E short-term trainings. If the state plans to claim FFP for providing training to any of these persons, the state's training plan must identify whether and how the agency will train persons in these new trainee groups consistent with the policies and regulations cited above.

In addition, each title IV-E state agency seeking to provide allowable training to individuals in the new trainee groups must also review its approved Public Assistance Cost Allocation Plan (PACAP) and any training contracts to assure that the costs of such training are properly identified, measured and allocated. To the extent that changes are necessary, a PACAP amendment must be submitted to HHS Division of Cost Allocation before filing such title IV-E claims.

The training plan for the 2015-2019 CFSP must include the information below:

- A description of the initial in-service training program for new or reassigned employees that includes a description of the content and scope of the classroom and work experience components of the training, as well as the duration of the initial in-service training period and the specific supports provided during this period.
- For all types of training (e.g., training for individuals preparing for employment, initial in-service training, ongoing in-service training, foster/adoptive/guardianship provider training, and the additional categories of short-term training authorized in section 474(a)(3)(B) of the Act) include the following information in the training plan:
 - a brief, one-paragraph syllabus of the training activity;
 - indication of the specifically allowable title IV-E administrative functions the training activity addresses;
 - indication of the setting/venue for the training activity;
 - indication of the duration category of the training activity (i.e., short-term, long-term, part-time, full-time);
 - indication of the proposed provider of the training activity;
 - specification of the approximate number of days/hours of the training activity;
 - indication of the audience to receive the training (see discussion above expanding the list of eligible trainees);
 - description of estimated total cost; and
 - cost allocation methodology.

To assist tribes in developing the title IV-E training plan, CB has prepared a template (Attachment G). Use of the template is optional.

Section F. Chafee Foster Care Independence and Education and Training Vouchers Programs

Some tribes are eligible to apply to receive funds directly to operate independent living and education and training voucher programs. Tribes that are not eligible or that do not wish to apply for a direct allocation are reminded that states are required to ensure fair and equitable treatment of Indian youth in foster care. States are also required to negotiate in good faith with any tribe that requests to develop an agreement to administer or supervise the CFCIP or an ETV program with respect to eligible Indian children and to receive from the state an appropriate portion of the state's allotment for such administration or supervision. (See section 477(b)(3)(G) of the Act.)

Tribes with an approved title IV-E plan to directly operate a title IV-E program and tribes that have a title IV-E Tribal/State cooperative agreement or contract have the option to apply to receive FY 2015 CFCIP and/or ETV funds directly from the Secretary. These tribes may receive directly a portion of the CFCIP and/or ETV funds allotted to the state in which the tribe is located.

Tribes receiving direct funding under CFCIP and/or ETV will also be required to provide a 20 percent match.

Note that consistent with section 479B(f) of the Act, ACF has determined that tribes who receive direct funding for CFCIP and/or ETV are not required to implement the National Youth in Transition Database.

Requirements for Tribes Applying to Receive Direct Funding:

Eligible tribes that wish to receive funds directly from HHS, pursuant to section 477(j) of the Act, must include in the CFSP their five-year plan for delivering CFCIP and/or ETV services for FYs 2015 – 2019. In order to fulfill the Act's intent to promote positive youth development, tribes are strongly encouraged to include youth presently in care as well as former foster youth, as critical stakeholders in the development of the plan.

Plans must meet the requirements of the Act at 477(a) and (b), as determined appropriate by the Secretary of HHS. Tribes applying to receive funds for CFCIP and/or ETV are required to sign the certifications for these programs found in Attachment C of this PI.

In addition to signing the certifications, the tribe must submit as part of the CFSP a plan that identifies the tribal agency or agencies that will administer, supervise or oversee the programs and provide a statement that indicates that the tribal agency will cooperate in national evaluations of the effects of the programs in achieving the purposes of CFCIP and address the other plan requirements described below.

Description of Program Design and Delivery: In the CFSP, tribes must address how they will design and conduct their CFCIP and ETV programs to achieve the purposes of section 477(b)(2)(A) and section 477(a)(1-7) of the Act to:

- Help youth likely to remain in foster care until age 18 transition to self-sufficiency by providing services;
- Help youth likely to remain in foster care until age 18 receive the education, training, and services necessary to obtain employment;
- Help youth likely to remain in foster care until age 18 prepare for and enter post-secondary training and educational institutions;
- Provide personal and emotional support to youth aging out of foster care through mentors and the promotion of interactions with dedicated adults;
- Provide financial, housing, counseling, employment, education, and other appropriate support and services to former foster care recipients between 18 and 21 years of age to complement their own efforts to achieve self-sufficiency and to assure that program participants recognize and accept their personal responsibility for preparing for and then making the transition into adulthood;
- Make available vouchers for education and training, including postsecondary education, to youth who have aged out of foster care; and
- Provide services to youth who, after attaining 16 years of age, have left foster care for kinship guardianship or adoption.

Note that if a tribe wishes to receive direct funding under the ETV program only, it need only address its plans for achieving that purpose of the Chafee program.

Serving Youth of Various Ages and States of Achieving Independence: In developing their CFCIP plans, tribes are required to describe how youth of various ages and at various stages of achieving independence are to be served (Section 477(b)(2)(C)). In their plans, tribes must describe how they are serving: (1) youth under 16; (2) youth between the ages of 16-18; (3) youth ages 18 through 20; and (4) youth who, after attaining 16 years of age, have left foster care for kinship guardianship or adoption. (This information is not required for tribes applying for ETV funding only.)

The description should include the identification of tribal statutory and/or administrative barriers, if any, which, in order to facilitate the tribe serving a broader range of eligible youth, need to be eliminated or amended. Tribes should also discuss how they are developing services for those individuals "likely to remain in foster care until age 18." Some identifiers of individuals "likely to remain in foster care until 18" include, but are not limited to, age, ethnicity, presenting problems, case histories, and individual case goals and objectives.

Tribes should also note the following requirement specific to youth ages 18-20:

Room and Board: Tribes are required to certify (by signing the Certification form in Attachment C) in their tribal plans that no more than 30 percent of their allotment of Federal funds will be expended for room and board for youth who left foster care because they attained 18 years of age, but have not yet attained 21 years of age (section 477(b)(3)(B)). The tribe must have developed a reasonable definition of "room and board" and provide the definition in the CFSP. The CFSP must include a description of the approach(es) being used to make room and board available to youth ages 18 through 20.

Education and Training Vouchers Program: Tribes should note that purpose 6 of section 477(a) is to make available vouchers of up to \$5000 per youth per year for postsecondary education and training to youths who have aged out of foster care. Eligible tribes that opt to receive direct funding for the ETV program must describe how the tribe will establish its postsecondary educational assistance program to achieve the purpose of the ETV program (section 477(a)(6) of the Act) and to accomplish the purposes of the Act. Tribes must describe the methods they use to operate the program efficiently and assure that they will comply with the conditions specified in subsection 477(i). (See Attachment C.) Tribes must also describe the methods they will use to: (1) ensure that the total amount of educational assistance to a youth under this and any other Federal assistance program does not exceed the total cost of attendance; and (2) to avoid duplication of benefits under this and any other Federal assistance program.

Consultation and Collaboration: Tribes applying for direct CFCIP and/or ETV programs must describe the consultation process regarding the CFCIP and ETV programs with each state for which a portion of an allotment would be redirected by the Secretary of HHS to the tribe. (See section 477(j)(2)(B) and (C).) Describe the results of that consultation, particularly with respect to:

- Determining the eligibility for benefits and services of Indian children to be served under the CFCIP and/or ETV programs that the tribe has opted to operate directly; and
- The process for consulting with the state in order to ensure the continuity of benefits and services for such children who will transition from receiving benefits and services under the state's CFCIP and ETV programs to receiving such benefits and services under programs carried out directly by the tribe.

Discuss how youth, caseworkers and caregivers are made aware of the program.

In addition, we are clarifying, consistent with the Senate Appropriations Committee's Senate Report 110-410, that states and tribes may not deny eligibility for independent living services to a youth who otherwise meets the eligibility criteria but who is temporarily residing out of state, and that states/tribes may not terminate ongoing independent living assistance solely due to the fact that a youth is temporarily residing out of state.

Statistical Information: Identify the number of youth who received ETV awards from July 1, 2012 through June 30, 2013 (the 2012-2013 School Year) and July 1, 2013 through June 30, 2014 (the 2013-2014 School Year). Tribes may estimate if they do not have the total number for the 2013-2014 School Year. If not able to report the number of ETV awarded by school year, Tribes may report the information by Federal fiscal year. Report the number of recipients by the number of youth who were new voucher recipients in each of the school years.

Section G. Financial Information

1. Payment Limitations - Title IV-B, subpart 1:

As required by section 422(b)(14) of the Act, tribes may spend no more than 10 percent of title IV-B, subpart 1 federal funds for administrative costs. As defined at section 422(c)(1) of the Act, administrative expenditures are costs for the following activities to the extent they are incurred in administering the title IV-B state plan: procurement, payroll management, personnel functions (other than the portion of the salaries of supervisors attributable to time spent directly supervising the provision of services by caseworkers), management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, auditing, and travel expenses (except those related to the provision of services by caseworkers or the oversight of programs funded under title IV-B, subpart 1). Because of the limitation on administrative expenditures, tribes may have to limit the application of their indirect cost rate. If the application of the indirect cost rate results in the administrative cost limit being exceeded, the tribe must reduce direct and/or indirect costs assigned to the program to assure that total administrative costs are limited to no more than 10 percent of the federal share of program expenditures.

2. FY 2014 Funding-Revised Budget Request

Unneeded portions of tribal allocations of title IV-B, CFCIP, and ETV funds will be re-allocated by ACF in accordance with prescribed formulas (Sections 423(e), 433(d) and 477(d)(4) of the Act).

- If the tribe has received an allotment for FY 2014 and wishes to receive more funds than its previously submitted CFS-101 for FY 2014 indicated, it must submit a revised FY 2014 budget form (CFS-101, Part I). Note: The revised total request for each program must be equal to or less than the sum of the reallocation request(s) and the amount the tribe is eligible to receive in FY 2014 under title IV-B, subparts 1 or 2. (See Attachment A).
- If the tribe intends to release or apply for funds for reallocation for the title IV-B, subpart 1 or 2 programs, please note the amounts the tribe is releasing or requesting on the appropriate lines of the FY 2014 CFS-101 (see form instructions for more detail). ACF will re-allocate the funds in accordance with the prescribed formulas. Funds will be re-allocated prior to the end of the fiscal year.

3. FY 2015 Budget Request-CFS 101, Parts I and II (See Attachment B)

- Complete Part I of the CFS 101 form to request title IV-B, subparts 1 and 2, CFCIP and ETV funds, as applicable.
- Complete Part II to include the estimated amount of funds to be spent in each program area by source, the estimated number of individuals and families to be served, and the geographic service area within which the services are to be provided.
- Use the FY 2014 allocation tables as the basis for budget requests for title IV-B, subparts 1 and 2. If interested in applying directly to receive CFCIP and/or ETV funds, please contact your CB Regional Office to obtain an estimate of the amount of funds that will be available to the tribe under these programs.

4. FY 2012 Title IV-B Expenditure Report-CFS-101, Part III

Complete the CFS-101, Part III to include the actual amount of funds expended in each program area of title IV-B funding by source, the number of individuals and families served, and the geographic service area within which the services were provided. The tribe must track and report annually its actual title IV-B expenditures, including administrative costs for the most recent preceding fiscal year. Tribes must report the actual title IV-B expenditures of FY 2012 funds and submit this with your FY 2014 submission.

5. Financial Status Reports (Standard Form (SF)-425)

All grantees must report expenditures under title IV-B, subparts 1 and 2, CFCIP and ETV on the Financial Status Report, SF-425. A separate SF-425 must be submitted for each program and the Federal funds awarded under it for each FFY. Submission requirements for each program are listed below under the appropriate heading. A negative grant award will recoup unobligated and/or unliquidated funds reported on the final SF-425 for the title IV-B programs, CFCIP and ETV programs.

Consistent with Action Transmittal No. OGM-AT-13-01, unless granted a one-year waiver, tribes must submit an electronic SF-425 for the above listed programs through the ACF Online Data Collection (OLDC) system. If reports are submitted electronically through OLDC, paper copies do not need to be submitted. For more information on gaining access to and using the OLDC submission process, please see <https://extranet.acf.hhs.gov/oldcdocs/materials.html> or contact your ACF Regional Office Grants Officer or specialist. (See ACF Grants Management Action Transmittal OA-ACF-AT-01-05.)

If granted a waiver because the tribe is unable to submit reports electronically, tribes may submit the original SF-425 for each program to the following address with a copy to your ACF Regional Office:

Division of Mandatory Grants
Office of Administration
Administration for Children and Families
370 L'Enfant Promenade, S.W.
Washington, D.C. 20447

Title IV-B, subparts 1 and 2

Funds under title IV-B, subparts 1 and 2 must be expended within the FFY awarded or in the following FFY. Tribes are required to submit the SF-425 fiscal report for expenditures under both title IV-B, subpart 1 and title IV-B, subpart 2 (in separate reports) for each 12 month FFY (i.e., October 1–September 30) beginning when program funds are awarded and ending with the expiration of the two-year expenditure period. Reports are due 90 days after the end of the FFY (45 CFR 92.41). The SF-425 fiscal report covering the first FFY budget period constitutes an interim report and is to be checked under report type as “Annual”. The report due for the second FFY must cover the entire grant period and is to be checked under report type as “Final”. The required 25 percent tribal match (non-Federal share) may be reported on the interim report and must be reported on the final fiscal report. (Note that the match is based on 25 percent of the total program costs, including the combined Federal and non-Federal share, which equals one-third of the Federal amount awarded. For both programs, funds must be expended by September 30 of the fiscal year following the fiscal year in which the funds were awarded (e.g., for FY 2015, funds must be obligated by September 30, 2016 and liquidated (i.e., paid out) by December 29, 2016). To determine the match, a Match Calculator is available at: <http://www.acf.hhs.gov/programs/cb/programs/state-tribal-cfsp/tribal-toolkit>.

The title IV-B, subpart 2 grant is comprised of both discretionary and mandatory funds. Since the discretionary funds under title IV-B, subpart 2 (PSSF) are to be expended for the same purposes as the mandatory funds, no separate reporting is required to distinguish between the expenditure of the two amounts. Grantees are to report the cumulative amount on the financial status report (SF-425). Funds reported as unobligated on the final financial status report will be recouped from the discretionary amount first.

CFCIP and ETV

Funds under CFCIP and ETV must be expended within the FFY awarded or in the following FFY. Tribes are required to submit separate SF-425 fiscal reports for the CFCIP and ETV programs, if they directly receive grants under both programs. Reports capturing the FFY (October 1 – September 30) expenditure periods are due 90 days after the end of each 12 month period. The SF-425 fiscal report covering the first FFY budget period constitutes an interim report and is to be checked under report type as an “Annual” report. The report due for the second FFY must cover the entire grant period and is to be checked under report type as a “Final” report. The required 20 percent tribal match (non-Federal share) may be reported on the interim report and must be reported on the final report. (Note that the match is based on 20 percent of total program costs, including the combined Federal and non-Federal share, which equals 25 percent of the Federal amount awarded). CFCIP and ETV funds must be expended by

September 30 of the fiscal year following the fiscal year in which the funds were awarded (e.g., for FY 2015, funds must be obligated by September 30, 2016 and liquidated (i.e., paid out) by December 29, 2016).

Section H. Submittals

Consistent with Action Transmittal No. OGM-AT-13-01, issued September 25, 2013 by the ACF Office of Grants Management, as of October 1, 2013, all applications, plans and financial reports for mandatory grants must now be submitted electronically, unless the agency receives a one-year waiver. **ACF intends to use the On-Line Data Collection (OLDC) system to accommodate online electronic reporting by all grantees.** The OLDC system has long been used to collect financial reports by grantees, but it will now be used to facilitate online submission of plans, applications and reports for mandatory grants, including the CFSP and APSR submissions. CB will provide additional information on how to submit applications/plans through OLDC prior to the due date for submissions

While the mode of transmission will change to OLDC (in lieu of e-mail or compact disc, etc.), we are requesting that the documents be submitted in the following formats/components to facilitate review and posting on the CB website. Unless otherwise noted, all documents must be submitted in the format of a Word document or as a PDF that was created in Word and then saved as a PDF (but not a scanned PDF). Please do not embed scanned PDF files or documents in the submissions, as we are unable to post these documents on the internet. Clearly indicate the content of each document submitted.

- Submit the 2010-2014 Final Report and the 2015-2019 CFSP as two separate electronic documents to the RO by June 30, 2014.
- Submit the signed CFS-101 forms in PDF format as a separate attachment(s).
 - Submit revised CFS-101, Parts I (signed) and II for FY 2014 if the tribe has been allotted and requests more than its previously submitted request for funds or if the tribe wishes to release any FY 2014 funds for reallocation;
 - Submit the CFS-101, Parts I (signed) and II for FY 2015;
 - Submit the CFS-101 Part III (signed) for FY 2012;
- Submit a signed copy of applicable Certifications and Assurances (see Attachment C) in PDF format.

The Regional Office will review the application and contact the tribe with any questions or if revisions are needed. Once the Regional Office has completed the review, it will forward a copy of the approved plans and CFS-101 to the Children's Bureau's Central Office.

Paperwork Reduction Act:

Under the Paperwork Reduction Act of 1995 (Public Law 104-13), an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number. The Control Number for this OMB approved information collection is 0980-0047, which expires on October 31, 2014.

Inquiries To: Children's Bureau Regional Program Managers

/s/
Mark Greenberg
Acting Commissioner

Attachment A:

Fiscal Year 2014 Allotment Title IV-B, Subpart 1 Stephanie Tubbs Jones Child Welfare Services

Fiscal Year 2014 Allotment Title IV-B, Subpart 2 - Promoting Safe and Stable Families
Fiscal Year 2014 Chafee Foster Care Independence Program and Education and Training Voucher Program

Attachment B:

CFS-101 Part I: Annual Budget Request for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Program Instructions

CFS-101 Part I: Annual Budget Request for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Program Form

CFS-101, Part II: Annual Summary of Child and Family Services Instructions

CFS-101, Part II: Annual Summary of Child and Family Services Form

CFS-101, Part III: Annual Expenditures for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Instructions

CFS-101, Part III: Annual Expenditures for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Form

Attachment C:

Assurances and Certifications

Attachment D:

Children's Bureau Regional Program Managers

Attachment E:

Current Variances in the Title IV-B Provisions of Law and Regulations

Attachment F:

Certification of Tribal Population Under Age 21

Attachment G:
Training Plan Template (optional)

Attachment H:
Tribal CFSP Template (optional)

**FISCAL YEAR 2014 ALLOTMENT FOR TRIBES
TITLE IV-B SUBPART I
STEPHANIE TUBBS JONES CHILD WELFARE SERVICES**

TRIBE	ALLOTMENT	POPULATION UNDER 21
ALABAMA		
AL Poarch Band of Creek Indians	\$4,330	410
ALASKA		
AK Aleutian/Pribilof Islands Association	\$7,820	866
AK Artic Slope	\$10,664	1181
AK Asa'carsarmiut	\$4,091	453
AK Assoc Vil Coun Pres	\$81,451	9020
AK Bristol Bay	\$22,394	2480
AK Chugachmiut	\$5,969	661
AK Cook Inlet	\$89,388	9899
AK Kawerak	\$32,273	3574
AK Ketchikan Indian Corp.	\$13,166	1458
AK Kodiak Area Native Association	\$7,224	800
AK Maniilaq Association	\$34,558	3827
AK Metlakatla Indian Community	\$8,560	948
AK Native Village of Barrow	\$21,085	2335
AK Nome Eskimo Community	\$7,549	836
AK Orutsaramuit	\$13,762	1524
AK Sitka Tribe	\$8,940	990
AK St. Paul Tribal Government	\$2,519	279
AK Sun'aq Tribe of Kodiak (Shoonaq)	\$2,754	305
AK Tanana Chiefs	\$42,676	4726
AK Tlingit-Haida	\$40,743	4512
ARIZONA		
AZ Colorado River	\$14,272	1371
AZ Hopi	\$44,732	4297
AZ Hualapai Nation	\$9,619	924
AZ Navajo	\$518,762	49833
AZ Navajo (NM portion)	\$353,232	32526
AZ Navajo (UT portion)	\$33,731	3106
AZ Pascua Yaqui	\$63,522	6102
AZ Salt River	\$46,762	4492
AZ San Carlos Apache	\$57,203	5495
AZ Tohono O'odham	\$133,883	12861
AZ Yavapai-Apache Nation	\$10,368	996
CALIFORNIA		
CA Karuk Tribe	\$15,095	1778
CA Smith River Rancheria	\$5,722	674
CA Tule River Tribal Council	\$4,508	531
CA Yurok	\$15,061	1774
COLORADO		
CO Southern Ute Tribe	\$3,955	461
IDAHO		
ID Coeur D'Alene	\$11,081	985
ID Nez Perce Tribe	\$11,981	1065
ID The Shoshone-Bannock Tribes	\$19,879	1767
KANSAS		
KS Kickapoo Tribe	\$3,071	326

FISCAL YEAR 2014 ALLOTMENT FOR TRIBES
TITLE IV-B SUBPART I
STEPHANIE TUBBS JONES CHILD WELFARE SERVICES

TRIBE	ALLOTMENT	POPULATION UNDER 21
KS Native American Family Services	\$8,431	895
KS Prairie Band of Potawatomi	\$9,316	989
KS Sac & Fox Tribe of Missouri in Kansas	\$1,356	144
LOUISIANA		
LA Chitimacha	\$5,840	599
LA Coushatta Tribe of Louisiana	\$5,353	549
LA Jena Band of Choctaw	\$1,541	158
LA Tunica-Biloxi	\$5,411	555
MAINE		
ME Aroostook Band of Micmacs	\$4,324	419
ME Houlton Band of Maliseets	\$5,501	533
ME Passa. Indian Township	\$4,561	442
ME Passa. Pleasant Point	\$5,769	559
ME Penobscot	\$6,058	587
MASSACHUSETTS		
MA Mashpee Wampanoag Tribe	\$5,415	828
MA Wampanoag of Gay Head	\$1,864	285
MICHIGAN		
MI Bay Mills	\$7,541	735
MI Grand Traverse of Ottawa &	\$12,230	1192
MI Keweenaw Bay Indian Communi	\$5,407	527
MI Lac Vieux Desert Band	\$3,140	306
MI Little River Band of Ottawa Indians of Michigan	\$5,869	572
MI Little Traverse Bay Bands Of Odawa Indians	\$10,517	1025
MI Match-E-Be-Nash-She-Wish Band (Gun Lake Tribe)	\$2,073	202
Pokagon Band of Potawatomi	\$2,505	242
Pokagon Band of Potawatomi	\$16,878	1645
MI Sault Ste. Marie Chippewa	\$143,024	13940
MINNESOTA		
MN Fond Du Lac Reservation Business Committee	\$7,668	900
MN Leech Lake Reservation	\$19,136	2246
MN Mille Lacs Band of Ojibwe Indians	\$13,547	1590
MN Red Lake Chippewa	\$33,748	3961
MISSISSIPPI		
MS Mississippi Choctaw	\$44,966	3997
MISSOURI		
MO Eastern Shawnee Tribe of Oklahoma	\$11,631	1182
MONTANA		
MT Blackfeet	\$56,283	5126
MT Chippewa Cree	\$27,768	2529
MT Conf'd Salish & Kootenai	\$22,926	2088
MT Crow Tribe	\$62,981	5736
MT Ft. Belknap	\$20,566	1873
MT Ft. Peck Assiniboine and Sioux Tribes	\$94,197	8579
MT Northern Cheyenne	\$38,880	3541
NEBRASKA		
NE Omaha Tribe	\$18,072	1937
NE Ponca Tribe	\$11,663	1250
NE Santee Sioux Nation	\$6,587	706

FISCAL YEAR 2014 ALLOTMENT FOR TRIBES
TITLE IV-B SUBPART I
STEPHANIE TUBBS JONES CHILD WELFARE SERVICES

TRIBE	ALLOTMENT	POPULATION UNDER 21
NE Winnebago Tribe of Nebraska	\$14,182	1520
Washoe Tribe of Nevada and California	\$1,690	199
Washoe Tribe of Nevada and California	\$3,296	327
NEW MEXICO		
NM Ramah Navajo School Board, Inc.	\$12,750	1174
NM Acoma	\$20,037	1845
NM Isleta	\$16,670	1535
NM Jemez	\$13,749	1266
NM Jicarilla Apache	\$15,128	1393
NM Laguna	\$31,201	2873
NM Mescalero Apache	\$21,383	1969
NM Pueblo De Cochiti	\$4,963	457
NM Pueblo of Nambe	\$6,755	622
NM Pueblo of Picuris	\$1,075	99
NM Pueblo of Pojoaque	\$3,801	350
NM Pueblo of Tesuque	\$1,911	176
NM Pueblo of Zia	\$3,671	338
NM San Felipe	\$16,420	1512
NM San Ildefonso Pueblo	\$2,910	268
NM San Juan Pueblo (Ohkay Owingeh Pueblo)	\$8,786	809
NM Sandia Pueblo	\$6,646	612
NM Santa Ana Pueblo	\$3,106	286
NM Santa Clara	\$8,742	805
NM Santo Domingo	\$18,929	1743
NM Taos	\$7,874	725
NM Zuni	\$48,892	4502
NEW YORK		
NY Seneca Nation of Indians	\$18,740	2681
NORTH CAROLINA		
NC Eastern Band Cherokee	\$53,652	5260
NORTH DAKOTA		
ND Spirit Lake	\$28,048	2987
ND Standing Rock Sioux Tribe	\$20,620	2196
SD Standing Rock Sioux Tribe	\$26,832	2769
ND Three Affiliated Tribes (Ft. Berthold)	\$44,931	4785
ND Turtle Mountain	\$60,152	6406
OKLAHOMA		
OK Absentee Shawnee Tribe	\$14,629	1447
OK Alabama-Quassarte Tribal Town	\$1,668	165
OK Apache	\$11,475	1135
OK Caddo Tribe	\$17,076	1689
OK Cherokee	\$733,369	72539
OK Cheyenne and Arapaho Tribes	\$37,518	3711
OK Chickasaw	\$195,952	19382
OK Choctaw	\$495,168	48978
OK Citizen-Potawatomi	\$86,420	8548
OK Comanche Nation Indian Child Welfare	\$58,992	5835
OK Delaware Nation of Western Oklahoma	\$4,084	404
OK Delaware Tribe of Indians	\$11,869	1174

FISCAL YEAR 2014 ALLOTMENT FOR TRIBES
TITLE IV-B SUBPART I
STEPHANIE TUBBS JONES CHILD WELFARE SERVICES

TRIBE	ALLOTMENT	POPULATION UNDER 21
OK Fort Sill Apache Tribe	\$3,286	325
OK Iowa	\$3,933	389
OK Kaw Nation	\$10,909	1079
OK Kialegee Tribal Town	\$2,255	223
OK Kickapoo Tribe of Oklahoma	\$12,506	1237
OK Kiowa	\$53,280	5270
OK Miami Tribe of Oklahoma	\$14,953	1479
OK Modoc Tribe of Oklahoma	\$1,233	122
OK Muscogee (Creek) Nation	\$235,351	23279
OK Osage	\$62,803	6212
OK Otoe-Missouria Tribe	\$9,342	924
OK Ottawa Tribe of Oklahoma	\$8,826	873
OK Pawnee Nation of Oklahoma	\$8,887	879
OK Peoria Tribe of Oklahoma	\$8,674	858
OK Ponca Tribe of Indians	\$12,890	1275
OK Quapaw Tribe of Oklahoma	\$18,491	1829
OK Sac-Fox	\$22,414	2217
OK Seminole	\$93,477	9246
OK Seneca-Cayuga	\$22,545	2230
OK Shawnee Tribe	\$3,983	394
OK Thlopthlocco Tribal Town	\$2,962	293
OK Tonkawa Tribe of Oklahoma	\$3,751	371
OK United Keetoowah Band	\$31,654	3131
OK Wichita & Affiliated Tribes	\$11,222	1110
OK Wyandotte Tribe	\$15,559	1539
OREGON		
OR Conf./Coos, Lower Umpqua & Sinslaw	\$3,948	394
OR Confederated Tribes of Grand Ronde	\$18,577	1854
OR Confederated Tribes of Siletz	\$18,086	1805
OR Confederated Tribes of Umatilla	\$16,272	1624
OR Confederated Tribes of Warm Springs	\$24,349	2430
OR Klamath	\$6,723	671
RHODE ISLAND		
RI Narragansett Indian Tribe	\$7,509	810
SOUTH CAROLINA		
SC Catawba Indian Nation	\$10,665	982
SOUTH DAKOTA		
SD Cheyenne River Sioux Tribe	\$54,031	5576
SD Oglala Sioux (Pine Ridge)	\$107,162	11059
SD Rosebud Sioux	\$102,210	10548
SD Sisseton-Wahpeton Sioux	\$40,931	4224
SD Yankton Sioux	\$27,491	2837
TEXAS		
TX Alabama-Coushatta	\$3,304	353
TX Kickapoo Traditional Tribe	\$3,735	399
TX Ysleta Del Sur Pueblo	\$14,742	1575
UTAH		
UT Paiute	\$3,942	363
UT Ute-Unitah & Ouray	\$13,781	1269

**FISCAL YEAR 2014 ALLOTMENT FOR TRIBES
TITLE IV-B SUBPART I
STEPHANIE TUBBS JONES CHILD WELFARE SERVICES**

TRIBE	ALLOTMENT	POPULATION UNDER 21
WASHINGTON		
WA Colville	\$25,023	2937
WA Confederated Tribes of the Chehalis Reservation	\$2,582	303
WA Lower Elwha Klallam	\$2,420	284
WA Lummi Tribe	\$18,420	2162
WA Makah	\$7,941	932
WA Muckleshoot Tribe of Washington	\$7,907	928
WA Nooksack Indian Tribe	\$5,921	695
WA Port Gamble S'Klallam Tribe	\$3,672	431
WA Quileute Indian Tribe	\$2,769	325
WA Quinault Indian Nation	\$7,114	835
WA Skokomish Indian Tribe	\$1,943	228
WA South Puget Intertribal Planning Agency	\$5,564	653
WA Swinomish Tribe	\$2,684	315
WA The Tulalip Tribes	\$16,273	1910
WA Yakama	\$30,766	3611
WISCONSIN		
WI Bad River	\$17,527	1843
WI Forest County Potawatomi Community	\$5,639	593
WI Ho-Chunk Nation	\$23,804	2503
WI Lac Courte Oreilles Tribal Gov Board	\$14,103	1483
WI Lac Du Flambeau	\$10,043	1056
WI Menomine Indian Tribe Of Wisconsin	\$20,656	2172
WI Oneida Nation of Wisconsin	\$44,098	4637
WI Red Cliff Band of Lake Superior Chippewas	\$18,773	1974
WI Stockbridge-Munsee	\$1,322	139
WYOMING		
WY Eastern Shoshone Tribe	\$16,862	1855
WY Northern Arapaho Business Council	<u>\$38,214</u>	4204
 CHILD WELFARE SERVICES TOTAL	 <u><u>\$6,329,344</u></u>	

FISCAL YEAR 2014 ALLOTMENT FOR TRIBE/TRIBAL ORGANIZATION
TITLE IV-B SUBPART II
PROMOTING SAFE AND STABLE FAMILIES PROGRAM

TRIBE	ALLOTMENT	TRIBAL POPULATION UNDER 21
ALASKA		
Aleutian/Pribilof Island Assoc.	\$14,538	866
Artic Slope	\$19,826	1,181
Association of Village Council Presidents	\$184,108	10,967
Bristol Bay	\$41,633	2,480
Chugachmuit	\$11,097	661
Cook Inlet	\$166,179	9,899
Kawerak	\$59,998	3,574
Ketchikan Indian Community	\$24,476	1,458
Kodiak Area Native Assoc.	\$13,430	800
Maniilaq Association	\$64,246	3,827
Metlakatla Indian Community	\$15,915	948
Native Village of Barrow	\$39,199	2,335
Nome Eskimo Community	\$14,034	836
Orutsararmuit Native Council	\$25,584	1,524
Sitka Tribe	\$16,620	990
Tanana Chiefs	\$79,338	4,726
Tlingit-Haida	\$75,745	4,512
ARIZONA		
Colorado River	\$23,016	1,371
Hopi	\$72,136	4,297
Hualapai Tribal Council	\$15,512	924
Navajo Nation	\$1,434,742	85,465
Pascua Yaqui	\$102,437	6,102
Salt River	\$75,409	4,492
San Carlos Apache	\$92,247	5,495
Tohono O'odham Nation	\$215,753	12,852
Yavapai-Apache Nation	\$16,720	996
CALIFORNIA		
Karuk Tribe	\$29,848	1,778
Smith River Rancheria	\$11,315	674
Yurok	\$29,781	1,774
IDAHO		
Coeur D'Alene	\$16,536	985
Nez Perce Tribe	\$17,879	1,065
Shoshone-Bannock (Fort Hall)	\$29,663	1,767
KANSAS		
Native American Family Services	\$15,025	895
Prairie Band of Potawatomi Indians	\$16,603	989
LOUISIANA		
Chitimacha	\$10,056	599

FISCAL YEAR 2014 ALLOTMENT FOR TRIBE/TRIBAL ORGANIZATION
TITLE IV-B SUBPART II
PROMOTING SAFE AND STABLE FAMILIES PROGRAM

TRIBE	ALLOTMENT	TRIBAL POPULATION UNDER 21
MASSACHUSETTS		
Mashpee Wampanoag Tribe	\$13,900	828
MICHIGAN		
Bay Mills	\$12,339	735
Grand Traverse of Ottawa & Chippewa	\$20,011	1,192
Little Traverse Bay Bands of Odawa Indians	\$17,207	1,025
Pokagon Band of Potawatomi	\$27,615	1,645
Sault St. Marie Tribe of Chippewa Indians	\$234,018	13,940
MINNESOTA		
Fond Du Lac Reservation Business Committee	\$15,109	900
Leech Lake Chippewa	\$37,705	2,246
Mille Lacs Band of Ojibwe	\$26,692	1,590
Red Lake Chippewa	\$66,495	3,961
MISSISSIPPI		
Mississippi Choctaw	\$67,100	3,997
MISSOURI		
Eastern Shawnee of Oklahoma	\$19,843	1,182
MONTANA		
Assiniboine Sioux (Ft. Peck)	\$144,020	8,579
Blackfeet	\$86,053	5,126
Chippewa Cree Tribe	\$34,163	2,035
Crow Tribe	\$96,293	5,736
Ft. Belknap	\$31,443	1,873
Northern Cheyenne	\$59,444	3,541
Salish Kootenai	\$35,052	2,088
NEBRASKA		
Omaha	\$32,517	1,937
Ponca Tribe	\$21,505	1,281
Santee Sioux Tribe	\$11,852	706
Winnebago Tribe of Nebraska	\$26,793	1,596
NEW MEXICO		
Acoma	\$30,973	1,845
Isleta	\$25,769	1,535
Jemez	\$21,253	1,266
Jicarilla Apache	\$23,385	1,393
Laguna	\$48,230	2,873
Mescalero Apache	\$33,055	1,969
Pueblo of Nambe	\$10,442	622
Ramah Navajo School Board, Inc.	\$19,709	1,174
San Felipe	\$25,383	1,512
San Juan Pueblo	\$13,581	809
Sandia Pueblo	\$10,274	612

FISCAL YEAR 2014 ALLOTMENT FOR TRIBE/TRIBAL ORGANIZATION
TITLE IV-B SUBPART II
PROMOTING SAFE AND STABLE FAMILIES PROGRAM

TRIBE	ALLOTMENT	TRIBAL POPULATION UNDER 21
Santa Clara	\$13,514	805
Santo Domingo	\$29,261	1,743
Taos	\$12,171	725
Zuni	\$75,577	4,502
NEW YORK		
Seneca Nation	\$45,007	2,681
NORTH CAROLINA		
Eastern Band Cherokee	\$88,302	5,260
NORTH DAKOTA		
Spirit Lake	\$50,144	2,987
Standing Rock Sioux	\$67,234	4,005
Three Affiliated Tribes (Ft. Berthold)	\$80,328	4,785
Turtle Mt. Chippewa	\$107,541	6,406
OKLAHOMA		
Absentee Shawnee Tribe	\$24,291	1,447
Apache Tribe	\$20,162	1,201
Caddo Tribe	\$28,354	1,689
Cherokee Nation	\$1,217,747	72,539
Cheyenne and Arapaho Tribes	\$62,298	3,711
Chickasaw	\$325,375	19,382
Choctaw	\$822,217	48,978
Citizen-Potawatomi	\$146,538	8,729
Comanche Nation Indian Child Welfare	\$97,955	5,835
Delaware Tribes of Indians	\$19,709	1,174
Kickapoo Tribe of Oklahoma	\$20,766	1,237
Kiowa	\$88,470	5,270
Muscogee (Creek) Nation	\$390,796	23,279
Osage	\$104,284	6,212
Otoe-Missouria Tribe	\$15,512	924
Ottawa Tribe of Oklahoma	\$14,655	873
Pawnee	\$14,756	879
Peoria Indian Tribe of Oklahoma	\$14,404	858
Ponca Tribe of Indians	\$21,404	1,275
Quapaw Tribe of Oklahoma	\$30,704	1,829
Sac and Fox Nation	\$37,218	2,217
Seminole Nation	\$155,217	9,246
Seneca-Cayuga	\$37,436	2,230
Shawnee Tribe	\$13,564	808
United Keetoowah Band of Cherokee	\$52,562	3,131
Wichita and Affiliated Tribes	\$18,634	1,110
Wyandotte Tribe	\$25,836	1,539

**FISCAL YEAR 2014 ALLOTMENT FOR TRIBE/TRIBAL ORGANIZATION
TITLE IV-B SUBPART II
PROMOTING SAFE AND STABLE FAMILIES PROGRAM**

TRIBE	ALLOTMENT	TRIBAL POPULATION UNDER 21
OREGON		
Klamath Tribe	\$11,264	671
Confederated Tribes of Grand Ronde	\$31,124	1,854
Confederated Tribes of Siletz Indians, Inc.	\$30,301	1,805
Confederated Tribes of Umatilla	\$27,263	1,624
Confederated Tribes of Warm Springs	\$40,794	2,430
RHODE ISLAND		
Narragansett Indian Tribe	\$13,598	810
SOUTH CAROLINA		
Catawba Indian Nation	\$16,485	982
SOUTH DAKOTA		
Cheyenne River Sioux	\$93,607	5,576
Oglala Sioux (Pine Ridge)	\$185,653	11,059
Rosebud Sioux	\$177,074	10,548
Sisseton-Wahpeton Sioux	\$70,910	4,224
Yankton Sioux	\$47,626	2,837
TEXAS		
Ysleta Del Sur Pueblo	\$26,440	1,575
UTAH		
Ute-Uintah & Ouray	\$21,303	1,269
WASHINGTON		
Colville	\$49,305	2,937
Lummi Tribe	\$36,295	2,162
Makah	\$15,646	932
Muckleshoot Indian Tribe	\$15,579	928
Nooksack Indian Tribe	\$11,667	695
Quinault Indian Nation	\$14,018	835
South Puget Intertribal Planning Agency	\$10,962	653
Tulalip	\$32,064	1,910
Yakama Nation	\$60,620	3,611
WISCONSIN		
Bad River	\$30,939	1,843
Ho-Chunk Nation	\$42,019	2,503
Lac Courte Oreilles	\$24,896	1,483
Lac Du Flambeau	\$17,728	1,056
Menominee	\$36,462	2,172
Oneida	\$77,844	4,637
Red Cliff Band	\$33,138	1,974
WYOMING		
Eastern Shoshone	\$31,141	1,855
Northern Arapaho Business Council	\$70,575	4,204

PROMOTING SAFE AND STABLE FAMILIES PROGRAM \$10,284,150

FISCAL YEAR 2014 ALLOTMENT FOR STATES AND TRIBES CHAFEE INDEPENDENT LIVING PROGRAM

STATE	ALLOTMENT
Alabama	\$1,520,830
Alaska	\$629,873
Arizona	\$4,488,464
Arkansas	\$1,237,405
California	\$18,101,906
Colorado	\$2,001,653
Connecticut	\$1,521,496
Delaware	\$500,000
District of Columbia	\$1,091,992
Florida	\$6,514,125
Georgia	\$2,557,835
Hawaii	\$500,000
Idaho	\$500,000
Illinois	\$5,547,477
Indiana	\$3,779,233
Iowa	\$2,088,015
Kansas	\$1,979,506
Kentucky	\$2,327,093
Louisiana	\$1,358,131
Maine	\$565,888
Maryland	\$1,628,531
Massachusetts	\$2,841,594
Michigan	\$4,842,248
Minnesota	\$1,812,591
Mississippi	\$1,219,731
Missouri	\$3,327,087
Montana	\$645,878
Nebraska	\$1,697,019
Nevada	\$1,582,516
New Hampshire	\$500,000
New Jersey	\$2,297,848
New Mexico	\$639,542
New York	\$11,585,958
North Carolina	\$2,821,255
North Dakota	\$500,000
Ohio	\$3,960,293
Oklahoma	\$3,045,661
Oregon	\$2,856,890
Pennsylvania	\$4,833,578
Puerto Rico	\$1,437,136
Rhode Island	\$569,185
South Carolina	\$1,038,005
South Dakota	\$500,000
Tennessee	\$2,660,201
Texas	\$9,874,222
Utah	\$922,301
Vermont	\$500,000
Virginia	\$1,526,832
Washington	\$3,188,311

FISCAL YEAR 2014 ALLOTMENT FOR STATES AND TRIBES CHAFEE INDEPENDENT LIVING PROGRAM

STATE	ALLOTMENT
West Virginia	\$1,521,163
Wisconsin	\$2,128,694
Wyoming	\$500,000
STATE TOTAL	\$137,815,192

TRIBES	ALLOTMENT	TRIBAL FOSTER CARE POPULATION
KS Prairie Band of Potawatomi	\$21,814	66
NE Santee Sioux Nation	\$8,871	27
OR Confederated Tribe of Warm Springs	\$39,389	120
WA Port Gamble S'Klallam Tribe	\$14,734	44
TRIBAL TOTAL	\$84,808	

CHAFEE INDEPENDANT LIVING PROGRAM TOTAL \$137,900,000

**FISCAL YEAR 2014 FOR STATES AND TRIBES
CHAFEE EDUCATION AND TRAINING VOUCHER PROGRAM**

STATE	ALLOTMENT
Alabama	\$489,398
Alaska	\$202,691
Arizona	\$1,444,375
Arkansas	\$398,193
California	\$5,825,141
Colorado	\$644,126
Connecticut	\$489,613
Delaware	\$85,733
District of Columbia	\$166,423
Florida	\$2,096,227
Georgia	\$823,104
Hawaii	\$115,777
Idaho	\$132,409
Illinois	\$1,785,162
Indiana	\$1,216,146
Iowa	\$671,917
Kansas	\$636,999
Kentucky	\$748,852
Louisiana	\$433,924
Maine	\$162,239
Maryland	\$524,057
Massachusetts	\$914,417
Michigan	\$1,558,221
Minnesota	\$583,287
Mississippi	\$392,506
Missouri	\$1,070,646
Montana	\$207,841
Nebraska	\$548,950
Nevada	\$509,249
New Hampshire	\$82,407
New Jersey	\$734,795
New Mexico	\$205,803
New York	\$2,567,062
North Carolina	\$907,871
North Dakota	\$118,996
Ohio	\$1,274,410
Oklahoma	\$980,085
Oregon	\$919,339
Pennsylvania	\$1,555,431
Puerto Rico	\$462,466
Rhode Island	\$183,162
South Carolina	\$334,027
South Dakota	\$150,114
Tennessee	\$856,045
Texas	\$3,177,496
Utah	\$296,794
Vermont	\$104,618
Virginia	\$491,330
Washington	\$1,025,990
West Virginia	\$489,506

**FISCAL YEAR 2014 FOR STATES AND TRIBES
CHAFEE EDUCATION AND TRAINING VOUCHER PROGRAM**

STATE	ALLOTMENT
Wisconsin	\$685,008
Wyoming	\$103,331
STATE TOTAL	\$42,583,709

TRIBAL	ALLOTMENT	TRIBAL FOSTER CARE POPULATION
KS Prairie Band of Potawatomi	\$7,020	66
OR Confederated Tribe of Warm Springs	\$12,675	120
WA Port Gamble S'Klallam Tribe	\$4,741	44
TRIBE TOTAL	\$24,436	

EDUCATION AND TRAINING PROGRAM TOTAL \$42,608,145

CFS-101, Part I: Annual Budget Request for Title IV-B, Subpart 1 & 2 Funds, CAPTA, CFCIP, and ETV Instructions

Note: While the information on the programs are consolidated into one Child and Family Services Plan (CFSP), eligibility and expenditure reports for the individual programs are separate. Funding will not be delayed for one program due to potential eligibility issues in another program.

Complete separate forms for each fiscal year.

1. **State or ITO:** Enter the name of the State or Indian Tribal Organization (ITO).
2. **EIN:** Indicate the Employer Identification Number (EIN).
3. **Address:** Enter the address of the State or ITO Agency.
4. **Submission:** Indicate if this is a NEW submission for the upcoming Fiscal Year, or a REVISION of the budget request for the current year.
5. **Total estimated title IV-B, subpart 1 funds:** Specify the estimated amount of title IV-B, subpart 1 Federal funds that the State or ITO expects to spend during the fiscal year on the Stephanie Tubbs Jones Child Welfare Services (CWS) Program. The previous Federal Fiscal Year's (FFY) final allotment as provided in the annual Program Instruction on the Annual Progress and Services Report (APSR) or CFSP is to be used as the estimated amount for the State's/Tribe's request.¹ A 25% match is required and the State or Tribe's match amount should be reflected on the SF-425 report.
 - a) Specify the estimated amount of title IV-B, subpart 1 CWS funds entered on line 5 to be spent on administration (not to exceed 10% of the total title IV-B, subpart 1 estimated allotment).
6. **Total estimated title IV-B, subpart 2 funds:** Specify the total estimated amount of title IV-B, subpart 2 Federal funds that the State or ITO expects to spend during the fiscal year on the Promoting Safe and Stable Families (PSSF) Program. The previous Federal Fiscal Year's (FFY) final allotment as provided in the annual Program Instruction on the APSR or CFSP is to be used as the estimated amount for the State's/Tribe's request. A 25% match is required and the State or Tribe's match amount should be reflected on the SF-425 report. Tribes are not required to complete items 6 a-f.
 - a) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Family Preservation Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).

¹ The tentative allotments for title IV-B, subpart 1 and 2, CFCIP, and ETV are based on the premise that all States and Indian Tribes will apply for and receive the funds available to them. In the event that not all States or Indian Tribes apply for or receive their tentative allocations, those funds will be redistributed among eligible States and Indian Tribes, where permitted by statute. States requesting additional funds in anticipation of such a redistribution should submit information on the proposed use of such additional funds to the appropriate Children's Bureau Regional Office in the State's/Tribe's APSR or CFSP.

When States or Tribes that have not applied for funds available to them in past fiscal year(s) decide to do so, depending upon the total amount of funds appropriated by Congress for the next fiscal year, there may be a reduction in the final distribution of funds.

b) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Family Support Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).

c) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Time-Limited Family Reunification Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).

d) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Adoption Promotion and Support Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).

e) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for other service related activities (e.g. planning).

f) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent on administration. (For STATES only, not to exceed 10% of the total title IV-B, subpart 2 estimated allotment.)

7. Monthly Caseworker Visit title IV-B, subpart 2 funds (for STATES only): Specify the estimated amount of title IV-B, subpart 2 Monthly Caseworker Visitation (MCV) Federal Funds the State expects to spend during the fiscal year.

a) Specify the estimated amount of title IV-B, subpart 2 MCV funds entered on line 7a to be spent on administration (not to exceed 10% of the total title IV-B, subpart 2 MCV estimated allotment).

8. Re-allotment of Title IV-B, subparts 1 & 2 funds for State and ITO: The Administration for Children and Families (ACF) is able to re-allot unneeded portions of State and Tribal allocations of title IV-B, subparts 1& 2 funds to other States and eligible Indian Tribes so that the total appropriation remains available for program purposes. In order for a State or ITO to be awarded a portion of these funds, or for funds to be released, ACF must have on file a request from the State or Indian Tribe for additional funds, or the release of funds.

a) Indicate the amount of Federal funds of CWS, PSSF and/or MCV (for States only) that the State or Tribe does not expect to utilize.

b) Indicate the amount of Federal funds of CWS, PSSF and/or MCV (for States only) that the State or Tribe is requesting, if additional funds become available.

9. Child Abuse Prevention and Treatment Act (CAPTA) (for STATES only): Indicate the State's estimated CAPTA State Grant allocation as provided in the annual Program Instruction on the APSR. Supplemental funds may be available for distribution if there are States that are not awarded grant funds or there are unobligated funds available for redistribution. No matching funds are required for this grant.

10. Estimated Title IV-E Chafee Foster Care Independence Program (CFCIP) Funds:

Indicate the estimated amount of CFCIP funds that the State or Tribe expects to spend on independent living activities as provided in the APSR or CFSP Program Instruction.

- a) At State or Tribe option, indicate the estimated amount of the funds entered on line 10 a to be spent for room and board for eligible youth (not to exceed 30% of total estimated CFCIP allotment.)

11. Estimated Title IV-E Funds Allotted under Section 477 for the Education and Training Vouchers (ETV) Program: Indicate the estimated amount of ETV funds that the State or Tribe expects to spend on ETV as provided in the APSR or CFSR Program Instruction.

12. Re-allotment of CFCIP and/or ETV Funds for States or Tribes: The Administration for Children and Families (ACF) is able to re-allot unneeded portions of State or Tribe allocations of CFCIP and ETV funds to other States or Tribes so that the total appropriation remains available for program purposes. In order for a State or Tribe to be awarded portions of these funds, or for funds to be released, ACF must have on file a request from the State or Tribe for additional funds, or the release of funds.

- a) Indicate the amount of funds that the State or Tribe will **not** utilize from its allotment to carry out the CFCIP activities.
- b) Indicate the amount of funds that the State or Tribe will **not** utilize from its allotment to carry out the ETV program activities.
- c) Indicate the amount of funds the State or Tribe is requesting if additional funds become available for the CFCIP program. A 20% State or Tribe match is required. The State or Tribe match amount must be reflected on the SF-425 report.
- d) Indicate the amount of funds the State or Tribe is requesting if additional funds become available for the ETV program. A 20% State or Tribe match is required. The State or tribe match amount must be reflected on the SF-425 report.

13. Certification: This report must be signed and dated in the spaces provided. The signature and title of the official of the State agency, or Indian Tribal Organization, with authority to administer or supervise the administration of title IV-B, subparts 1 and 2 programs and, for States only, the CAPTA and CFCIP programs, must be included.

By signing this form the State/Tribal official assures that the State/Tribe will meet all applicable match requirements.

CFS-101, Part I: Annual Budget Request for Title IV-B, Subpart 1 & 2 Funds, CAPTA, CFCIP, and ETV

Fiscal Year 2015, October 1, 2014 through September 30, 2015

1. State or Indian Tribal Organization (ITO):		2. EIN:	
3. Address:		4. Submission: [] New [] Revision	
5. Total estimated title IV-B Subpart 1, Child Welfare Services (CWS) Funds		\$	
a) Total administration (not to exceed 10% of title IV-B Subpart 1 estimated allotment)		\$	
6. Total estimated title IV-B Subpart 2, Provides Safe and Stable Families (PSSF) Funds. This amount should equal the sum of lines a - f.		\$	
a) Total Family Preservation Services		\$	
b) Total Family Support Services		\$	
c) Total Time-Limited Family Reunification Services		\$	
d) Total Adoption Promotion and Support Services		\$	
e) Total for Other Service Related Activities (e.g. planning)		\$	
f) Total administration (FOR STATES ONLY: not to exceed 10% of title IV-Bsubpart 2 estimated allotment)		\$	
7. Total estimated Monthly Caseworker Visit (MCV) Funds (FOR STATES ONLY)		\$	
a) Total administration (FOR STATES ONLY: not to exceed 10% of estimated MCV allotment)		\$	
8. Re-allotment of title IV-B subparts 1 & 2 funds for States and Indian Tribal Organizations:			
a) Indicate the amount of the State's/Tribe's allotment that will not be required to carry out the following programs: CWS \$_____, PSSF \$_____, and/or MCV(States only)\$_____.			
b) If additional funds become available to States and ITOs, specify the amount of additional funds the States or Tribes requesting: CWS \$_____, PSSF \$_____, and/or MCV(States only)\$_____.			
9. Child Abuse Prevention and Treatment Act (CAPTA) State Grant (no State match required): Estimated Amount plus additional allocation, as available. (FOR STATES ONLY)		\$	
10. Estimated Chafee Foster Care Independence Program (CFCIP) funds		\$	
a) Indicate the amount of State's or Tribe's allotment to be spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment)		\$	
11. Estimated Education and Training Voucher (ETV) funds		\$	
12. Re-allotment of CFCIP and ETV Program Funds:			
a) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out CFCIP Program		\$	
b) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out ETV Program		\$	
c) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for CFCIP Program		\$	
d) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for ETV Program		\$	
13. Certification by State Agency and/or Indian Tribal Organization. The State agency or Indian Tribe submits the above estimates and request for funds under title IV-B, subpart 1 and/or 2, of the Social Security Act, CAPTA State Grant, CFCIP and ETV programs, and agrees that expenditures will be made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau.			
Signature and Title of State/Tribal Agency Official		Signature and Title of Central Office Official	

CFS-101, PART II

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CFS-101, PART II: Annual Estimated Expenditure Summary of Child and Family Services Instructions

This form summarizes the State Agency's and eligible Indian Tribal Organization's (ITO) estimated expenditures on Child and Family Services programs, including the Child Abuse Treatment and Prevention Act (CAPTA) programs and the Chafee Foster Care Independence Program (CFCIP) including Education and Training Vouchers (ETV) for the next Federal fiscal year. This information is an integral part of the Child and Family Services Plan and should be discussed by the ACF Regional Office, State Agency Representatives, and Tribes. States and Tribes should list estimated expenditures and other information in the category that best fits their programs.

For each of the services/activities listed, indicate in the appropriate columns the estimated expenditures by program, the estimated number of clients to be served, the population to be served and the geographic area to be served.

Services/Activities:

1. Prevention and Support Services (Family Support): Community-based services which promote the safety and well-being of children and families and are designed to increase the strength and stability of families (including adoptive, foster, and extended families); to increase parents' confidence and competence in their parenting abilities; to afford children a safe, stable, and supportive family environment; to strengthen parental relationships and promote healthy marriages; and to enhance child development, including through mentoring. These services may include respite care for parents and other caregivers; early developmental screening of children to assess the needs of these children and assistance in obtaining specific services to meet their needs; mentoring, tutoring, and health education for youth; a range of center-based activities (informal interactions in drop-in centers, parent support groups); services designed to increase parenting skills; and counseling and home visiting activities.

2. Protective Services: Services designed to prevent or remedy the abuse, neglect, or exploitation of children. Services include investigation and emergency medical services, emergency shelter, legal action, developing case plans, counseling, assessment/evaluation of family circumstances, arranging alternative living arrangements, preparing for foster placement, if needed, and case management and referral to service providers.

3. Crisis Intervention (Family Preservation): Services for children and families designed to help families (including adoptive and extended families) at risk or in crisis. The types of services within this category include:

- Pre-placement preventive services programs, such as intensive family preservation programs, designed to help children at risk of foster care placement remain with their families, where possible;
- Service programs designed to help children, where appropriate, return to families from which they have been removed; or be placed for adoption, with a legal guardian, or, if

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adoption or legal guardianship is determined not to be appropriate for a child, in some other planned, permanent living arrangement;

- Service programs designed to provide follow-up care to families to whom a child has been returned after a foster care placement;
- Respite care of children to provide temporary relief for parents and other caregivers (including foster parents);
- Services designed to improve parenting skills (by reinforcing parents' confidence in their strengths, and helping them to identify where improvement is needed and to obtain assistance in improving those skills) with respect to matters such as child development, family budgeting, coping with stress, health, and nutrition;
- Infant safe haven programs to provide a way for a parent to safely relinquish a newborn infant at a safe haven designated pursuant to a State law; and
- Case management services designed to stabilize families in crisis such as transportation, assistance with housing and utility payments, and access to adequate health care.

4. Time-Limited Family Reunification Services: Services and activities that are provided to a child who is removed from the child's home and placed in a foster family home or a child care institution, and to the parents or primary caregiver of such a child, in order to facilitate the reunification of the child safely and appropriately within a timely fashion, but only during the 15-month period that begins on the date that the child, pursuant to section 475(5)(F) of the Social Security Act (the Act), is considered to have entered foster care. The services and activities are the following:

- Individual, group, and family counseling.
- Inpatient, residential, or outpatient substance abuse treatment services.
- Mental health services.
- Assistance to address domestic violence.
- Services designed to provide temporary child care and therapeutic services for families, including crisis nurseries.
- Peer-to-peer mentoring and support groups for parents and primary caregivers;
- Services and activities designed to facilitate access to and visitation of children by parents and siblings; and
- Transportation to or from any of the services and activities described above.

5. Adoption Promotion and Support Services: Services and activities designed to encourage more adoptions out of the foster care system, when adoptions promote the best interests of children, including such activities as pre- and post-adoptive services and activities designed to expedite the adoption process and support adoptive families.

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6. Other Service Related Activities: Planning, service coordination, preparation or follow-up to service delivery such as the recording of progress notes or other activities, other than direct services or administration, supporting the delivery of services under the program etc.

7. Foster Care Maintenance: Expenditures for “room and board” for children/youth in foster care.

a) Foster Family and Relative Foster Care: Payments to cover food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel to the child's home for visitation and reasonable travel for the child to remain in the school in which the child is enrolled at the placement in foster care as well as the cost of providing these services.

b) Group/Institutional Care: This includes the reasonable costs of administration and the operation of institutional/group home care that are required to provide food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel to the child's home for visitation; the cost of reasonable travel for the child to remain in the same school he or she was attending prior to placement in foster care and the cost of the items themselves.

8. Adoption Subsidy Payments: Funds provided to adoptive parents on a recurring and non-recurring basis to assist in the support of special needs children.

9. Guardianship Assistance Payments: Funds provided to kinship legal guardians on a recurring and non-recurring basis to assist in the support of children formerly in foster care placed in their care.

10. Independent Living Services: Services designed to help youth expected to remain in foster care until the age of 18, youth who after age 16 leave foster care for kinship guardianship or adoption and former foster care recipients between 18 and 21 years of age, make the transition to self-sufficiency. Services may include: education, career exploration, vocational training, job placement and retention, training in daily living skills, training in budgeting and financial management skills, substance abuse prevention, and preventive health activities.

States and Tribes are allowed to expend up to 30 percent of their allotments under the Chafee Foster Care Independence Program for room and board (including rental deposits, utilities and other expenses that may be included with rent) for children who have left foster care because they have attained 18 years of age, and who have not yet attained 21 years of age.

11. Education and Training Vouchers: Include the amount of funds the State or Tribe plans to utilize for the Education and Training Vouchers (ETV) program in this line item

12. Administrative Costs: Include the amount of funds the State or Tribe plans to utilize for administrative costs.

- For States and Tribes, administrative costs under title IV-B, subpart 1 may not be more than ten percent of title IV-B, subpart 1 expenditures. Allowable costs for title IV-B, subpart 1 may

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include procurement, payroll processing, personnel functions, management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, auditing, and travel expenses. Applicable costs exclude administrative costs related to the provision of services by caseworkers or the oversight of programs funded under Title IV-B, subpart 1 (Section 422(c)(1) of the Act).

- For States only, administrative costs under title IV-B, subpart 2 (including Monthly Caseworker Visit grants) may not be more than ten percent of title IV-B, subpart 2 expenditures. Allowable costs for title IV-B, subpart 2 may include, but are not limited to procurement, payroll processing, personnel functions, management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, and auditing. Allowable costs may also include indirect costs allocable in accordance with the agency's approved cost allocation plan (45 CFR 1357.32(h)).

13. Staff and External Partners Training: Includes the cost of short and long-term training to increase the ability of staff and external partners (other than foster/adoptive parents (see #14 & #15 below)) to provide assistance and support to children and families, but does NOT include the costs specifically related to supporting the monthly caseworker visit requirement (see #17 below).

14. Foster Parent Training and Recruitment: Includes the cost of short-term training to increase foster parent's ability to provide assistance and support to foster and adoptive children, and those costs associated with/resulting from the recruitment of potential foster parents.

15. Adoptive Parent Training and Recruitment: Includes the cost of short-term training to increase adoptive parent's ability to provide assistance and support to foster and adoptive children, and those costs associated with/from the recruitment of potential adoptive parents.

16. Child Care Related to Employment/Training: Includes licensed day care purchased for the purpose of supporting the employment of one or both of the parents.

17. Monthly Caseworker Visits: Includes costs related to supporting monthly caseworker visits with children who are in foster care under the responsibility of the State, with a primary emphasis on activities designed to improve caseworker retention, recruitment and ability to access the benefits of technology.

18. Total: The total amount of funds estimated for the year (equal to the sum of lines 1 through 18) for each column.

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Estimated Expenditures:

1. Federal Funds (columns a - g): Indicate for each service/activity the amount to be expended from the Federal program indicated in columns (a) through (g). Note: Column (g) [Title IV-E] amounts generally are reportable only for the services and activities described in rows (7) through (17). As applicable and appropriate, States and Tribes operating title IV-E waiver demonstrations should also indicate in column (g) the extent to which any title IV-E funding will be expended on child welfare services and activities described in rows (1) through (6). Unless approved to operate a title IV-E waiver demonstration, states and tribes may not normally spend title IV-E funds for these purposes.

2. State, Local, and Donated Funds (column h): Indicate the estimated amount of State, local, and donated funds to be expended, even if they are not used to match Federal funds

3. Estimated Number to be Served (column i): Estimate, as accurately as possible, the number of individuals and families to be served by service/activity with the total estimated funding indicated.

4. Population to be Served (column j): Indicate the population that has been targeted for the designated services. Targeting may include a range of vulnerable populations such as:

- Children at imminent risk of placement;
- All children in foster care;
- Families with children returning home following placement;
- All eligible children, eligible children under 21 years, or eligible children requiring treatment;
- Families with a child abuse or neglect investigation;
- Children in contracted care; or
- Families in crisis.

5. Geographic Area to be Served (column k): Indicate **both** the number and type of areas identified within the State where services are to be provided for each program. Areas may include specific regions, counties, cities, reservations, communities, census tracts, or neighborhoods. For example, if the State is operating family preservation programs in six counties, indicate by noting "6 counties"; if the State is operating 12 community-based family support programs, indicate by noting "12 communities".

CFS-101 Part II: Annual Estimated Expenditure Summary of Child and Family Services

State or Indian Tribal Organization (ITO)

For FFY OCTOBER 1, 2014 TO SEPTEMBER 30, 2015

SERVICES/ACTIVITIES	TITLE IV-B			(d) CAPTA*	(e) CFCIP	(f) ETV	(g) TITLE IV- E**	(h) STATE, LOCAL, & DONATED FUNDS	(i) NUMBER TO BE SERVED		(j) POPULATION TO BE SERVED	(k) GEOG. AREA TO BE SERVED
	(a) Subpart I- CWS	(b) Subpart II- PSSF	(c) Subpart II- MCV *						Individuals	Families		
1.) PREVENTION & SUPPORT SERVICES (FAMILY SUPPORT)												
2.) PROTECTIVE SERVICES												
3.) CRISIS INTERVENTION (FAMILY PRESERVATION)												
4.) TIME-LIMITED FAMILY REUNIFICATION SERVICES												
5.) ADOPTION PROMOTION AND SUPPORT SERVICES												
6.) FOR OTHER SERVICE RELATED ACTIVITIES (e.g. planning)												
7.) FOSTER CARE MAINTENANCE:												
(a) FOSTER FAMILY & RELATIVE FOSTER CARE												
(b) GROUP/INST CARE												
8.) ADOPTION SUBSIDY PMTS.												
9.) GUARDIANSHIP ASSIST. PMTS.												
10.) INDEPENDENT LIVING SERVICES												
11.) EDUCATION AND TRAINING VOUCHERS												
12.) ADMINISTRATIVE COSTS												
13.) STAFF & EXTERNAL PARTNERS TRAINING												
14.) FOSTER PARENT RECRUITMENT & TRAINING												
15.) ADOPTIVE PARENT RECRUITMENT & TRAINING												
16.) CHILD CARE RELATED TO EMPLOYMENT/TRAINING												
17.) CASEWORKER RETENTION, RECRUITMENT & TRAINING												
18.) TOTAL												

* These columns are for States only; Indian Tribes are not required to include information on these programs.

** Only states or tribes operating an approved title IV-E waiver demonstration may enter information for rows 1-6 in column (g), indicating planned use of title IV-E funds for these purposes.

CFS-101, Part III: Expenditures for Title IV-B, Subparts 1 and 2, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Programs

Note: Federal funds for the most of the programs identified above are awarded to States or Tribes on a yearly basis, but may be spent over a two-year period ending on September 30 of the year following the year in which they were awarded. For example, the FY 2012 grant allocation has an expenditure period from October 1, 2011 to September 30, 2012. Therefore, any fiscal year 2012 funds must be obligated during that two-year period of time and subsequently reported on this form.

Most figures in the "estimate" column can be found in a State's/Tribe's previously approved CFS 101, Part I for the fiscal year being reported on.

1. **State or ITO:** Enter the name of the State or Indian Tribal Organization (ITO).
2. **EIN:** Indicate the Employer Identification Number (EIN).
3. **Address:** Enter the address of the State or ITO Agency.
4. **Submission:** Indicate if this is a new or revised expenditure report.
5. **Total title IV-B, subpart 1 funds:** Indicate the estimated expenditures, and actual expenditures of title IV-B, subpart 1 Federal funds for the designated fiscal year for Child Welfare Services. (The estimated expenditure total should equal the amount of the grant awarded for the designated fiscal year.) Indicate as accurately as possible, the number of individuals and the number of families served, the population served, and the geographic area where services were provided. The required 25% match should not be reflected on this form.
 - a) Specify the estimated allotment and actual expenditures of title IV-B, subpart 1 funds for administration. Note that administrative costs may not exceed 10% of the title IV-B, subpart 1 total expenditures.
6. **Total title IV-B, subpart 2 Promoting Safe and Stable Families (PSSF) funds:** Indicate the estimated expenditures, actual expenditures of title IV-B, subpart 2 funds for the designated fiscal year for Promoting Safe and Stable Families services. (The estimated expenditure total should equal the amount of the grant awarded for the designated fiscal year.) The required 25% match should not be reflected on this form. Indicate as accurately as possible, the number of individuals and the number of families served,¹ the population served,² and the geographic area

¹ Report, as accurately as possible, the number of clients served per service/activity for the amount of funds expended. Indicate the number of individuals **and** the number of families served as labeled in the column.

² Indicate the population that has received the designated services. This may include a range of vulnerable populations such as children at imminent risk of placement, all children in foster care, families with children returning home following placement, all eligible children, eligible children under 21 years, or eligible children

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where services were provided.³ States must also complete lines 6 a – f; for Tribes this is optional.

a) Indicate the estimated allotment and actual expenditures of title IV-B, subpart 2 PSSF funds for the designated fiscal year for Family Preservation Services. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.

b) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for Family Support Services. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.

c) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for Time-Limited Family Reunification Services. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.

d) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for Adoption Promotion and Support Services. Note that these funds may be spent over a two-year period ending on September 30 of the year following that in which they were awarded.

e) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for other service related activities (e.g. planning). Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.

f) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for administrative costs. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded. Beginning in FFY 2008, States' administrative costs may not exceed 10% of the total Federal expenditures for title IV-B, subpart 2. (This limitation does not apply to Tribes.)

7. Total title IV-B subpart 2, Monthly Caseworker Visit (MCV) Funds (States only):

Indicate the estimated and actual expenditures allotted for the designated fiscal year. Note that with the exception of FY 2006 grants, these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.

requiring treatment, families with a child abuse or neglect investigation, children in contracted care, and/or families in crisis.

³ Indicate the number **and** type of areas identified within the State where services are to be provided for each program. Areas may include specific regions, counties, cities, reservations, communities, census tracts, or neighborhoods.

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a). Indicate the estimated and actual expenditures of title IV-B, subpart 2 Monthly Caseworker Visit funds allotted for the designated fiscal year (States only). Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded. Beginning in FFY 2008 total administrative costs may not exceed 10% of the total expenditures for MCV.

8. Chafee Foster Care Independence Program (CFCIP) Funds: Indicate the estimated and actual expenditures of CFCIP funds allotted for the designated fiscal year for independent living activities as provided in the APSR or CFSP Program Instruction.

a) Indicate the estimated and actual expenditures of the State's or Tribe's allotment for the designated fiscal year for room and board for eligible youth (not to exceed 30% of CFCIP funds). Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following they year in which they were awarded. Indicate as accurately as possible, the number of clients served, the population served, and the geographic area where services were provided.

9. Total funds allotted under Section 477 for the Education and Training Vouchers (ETV) Program: Indicate the estimated and actual expenditures of Education and Training Voucher funds allotted for the designated fiscal year as provided in the annual Program Instruction on the APSR or CFSP. Indicate as accurately as possible, the number of clients served, the population served, and the geographic area where services were provided.

10. Certification: This report must be signed and dated in the spaces provided. The signature and title must be included of the official of the State agency, or Indian Tribal Organization, with authority to administer or supervise the administration of title IV-B, subparts 1 and 2 programs and, for States only, MCV and CAPTA programs.

By signing this form the State/Tribal official certifies that all figures provided here are accurate.

* Title IV-B, subpart 2 includes both the Promoting Safe and Stable Families Program and the Monthly Caseworker Visit Program.

CFS-101, PART III: Annual Expenditures for Title IV-B, Subparts 1 and 2, Chafee Foster Care Independence (CFCIP) and Education And Training Voucher (ETV) : Fiscal Year 2012: October 1, 2011 through September 30, 2012

1. State or Indian Tribal Organization (ITO):		2. EIN:		3. Address:		
4. Submission: <input type="checkbox"/> New <input type="checkbox"/> Revision						
<i>Description of Funds</i>	<i>Estimated Expenditures</i>	<i>Actual Expenditures</i>	<i>Number served</i>		<i>Population served</i>	<i>Geographic area served</i>
			<i>Individuals</i>	<i>Families</i>		
5. Total title IV-B, subpart 1 funds	\$	\$				
a) Total Administrative Costs (not to exceed 10% of title IV-B, subpart 1 total allotment)	\$	\$				
6. Total title IV-B, subpart 2 funds (This amount should equal the sum of lines a - f.)	\$	\$				
a) Family Preservation Services	\$	\$				
b) Family Support Services	\$	\$				
c) Time-Limited Family Reunification Services	\$	\$				
d) Adoption Promotion and Support Services	\$	\$				
e) Other Service Related Activities (e.g. planning)	\$	\$				
f) Administrative Costs (FOR STATES: not to exceed 10% of total title IV-B, subpart 2 allotment after October 1, 2007)	\$	\$				
7. Total Monthly Caseworker Visit Funds (STATE ONLY)	\$	\$				
a) Administrative Costs (not to exceed 10% of MCV allotment)	\$	\$				
8. Total Chafee Foster Care Independence Program (CFCIP) funds	\$	\$				
a) Indicate the amount of allotment spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment)	\$	\$				
9. Total Education and Training Voucher (ETV) funds	\$	\$				
10. Certification by State Agency or Indian Tribal Organization (ITO). The State agency or ITO agrees that expenditures were made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau.						
<i>Signature and Title of State/Tribal Agency Official</i>	<i>Date</i>	<i>Signature and Title of Central Office Official</i>			<i>Date</i>	

Attachment C – Tribes

Title IV-B, subpart 1 Assurances

The assurances listed below are in 45 CFR 1357.15(c) and title IV-B, subpart 1, sections 422(b)(8), 422(b)(10), and 422 (b)(14) of the Social Security Act (Act). These assurances will remain in effect during the period of the current five-year Child and Family Services Plan (CFSP).

1. The Tribe assures that it is operating, to the satisfaction of the Secretary:
 - a. A statewide information system from which can be readily determined the status, demographic characteristics, location, and goals for the placement of every child who is (or, within the immediately preceding 12 months, has been) in foster care;
 - b. A case review system (as defined in section 475(5) of the Act) for each child receiving foster care under the supervision of the State/Tribe;
 - c. A service program designed to help children:
 - i. Where safe and appropriate, return to families from which they have been removed; or
 - ii. Be placed for adoption, with a legal guardian, or, if adoption or legal guardianship is determined not to be appropriate for a child, in some other planned, permanent living arrangement which may include a residential educational program; and
 - d. A preplacement preventative services program designed to help children at risk of foster care placement remain safely with their families.
2. The Tribe assures that it has in effect policies and administrative and judicial procedures for children abandoned at or shortly after birth (including policies and procedures providing for legal representation of the children) which enable permanent decisions to be made expeditiously with respect to the placement of the children.
3. The Tribe assures that it shall make effective use of cross-jurisdictional resources (including through contracts for the purchase of services), and shall eliminate legal barriers, to facilitate timely adoptive or permanent placements for waiting children.
4. The Tribe assures that not more than 10 percent of the expenditures of the Tribe with respect to activities funded from amounts provided under this subpart will be for administrative costs.
5. The Tribe assures that it will participate in any evaluations the Secretary of HHS may require.
6. The Tribe assures that it shall administer the Child and Family Services Plan in accordance with methods determined by the Secretary to be proper and efficient.

Effective Date and Official Signature

I hereby certify that the Tribe complies with the requirements of the above assurances.

Certified by: _____

Title: _____

Agency: _____

Dated: _____

Reviewed by: _____

(ACF Regional Representative)

Dated: _____

Title IV-B, subpart 2 Assurances

The assurances listed below are in 45 CFR 1357.15(c) and title IV-B, subpart 2, sections 432(a)(2)(C), 432(a)(4), 432 (a)(5), 432(a)(7) and 432(a)(9) of the Social Security Act (Act). These assurances will remain in effect during the period of the current five-year CFSP.

1. The Tribe assures that after the end of each of the first four fiscal years covered by a set of goals, it will perform an interim review of progress toward accomplishment of the goals, and on the basis of the interim review will revise the statement of goals in the plan, if necessary, to reflect changed circumstances.

2. The Tribe assures that after the end of the last fiscal year covered by a set of goals, it will perform a final review of progress toward accomplishments of the goals, and on the basis of the final review:

a. Will prepare, transmit to the Secretary, and make available to the public a final report on progress toward accomplishment of the goals; and

b. Will develop (in consultation with the entities required to be consulted pursuant to subsection 432(b)) and add to the plan a statement of the goals intended to be accomplished by the end of the 5th succeeding fiscal year.

3. The Tribe assures that it will annually prepare, furnish to the Secretary, and make available to the public a description (including separate descriptions with respect to family preservation services, community-based family support services, time limited family reunification services, and adoption promotion and support services) of:

a. The service programs to be made available under the plan in the immediately succeeding fiscal year;

b. The populations which the programs will serve; and

c. The geographic areas in the Tribe in which the services will be available.

4. The Tribe assures that it will perform the annual activities in the 432(a)(5)(A) in the first fiscal year under the plan, at the time the Tribe submits its initial plan, and in each succeeding fiscal year, by the end of the third quarter of the immediately preceding fiscal year.

5. The Tribe assures that Federal funds provided under subpart 2 will not be used to supplant Federal or non-Federal funds for existing services and activities which promote the purposes of subpart 2.

65. The Tribe will furnish reports to the Secretary, at such times, in such format, and containing such information as the Secretary may require, that demonstrate the Tribe's compliance with the prohibition contained in 432(a)(7)(A) of the Act.

6. The Tribe assures that in administering and conducting service programs under the subpart 2 plan, the safety of the children to be served shall be of paramount concern.

7. The Tribe assures that it will participate in any evaluations the Secretary of HHS may require.

8. The Tribe assures that it shall administer the Child and Family Services Plan in accordance with methods determined by the Secretary to be proper and efficient.

Effective Date and Official Signature

I hereby certify that the Tribe complies with the requirements of the above assurances.

Certified by: _____

Title: _____

Agency: _____

Dated: _____

Reviewed by: _____

(ACF Regional Representative)

Dated: _____

Title IV-E, Section 477 Certifications

Certifications for the Chafee Foster Care Independence Program

As Tribal Leader of the Tribe of _____, I certify that the Tribe is either operating a title IV-E program under section 479B of the Act, or is receiving funding to provide foster care under part E of the Act pursuant to a cooperative agreement or contract with a state and has in effect and is operating an areawide program pursuant to section 477(j)(2) relating to the Foster Care Independence Program and that the following provisions to effectively implement the Chafee Foster Care Independence Program are in place:

1. The Tribe will provide assistance and services to youth who have left foster care because they have attained 18 years of age, and have not attained 21 years of age [Section 477(b)(3)(A)];
2. Not more than 30 percent of the amounts paid to the Tribe from its allotment for a fiscal year will be expended for room and board for youth who have left foster care because they have attained 18 years of age, and have not attained 21 years of age [Section 477(b)(3)(B)];
3. None of the amounts paid to the Tribe from its allotment will be expended for room or board for any child who has not attained 18 years of age [Section 477(b)(3)(C)];
4. The Tribe has consulted widely with public and private organizations in developing the plan and has given all interested members of the public at least 30 days to submit comments on the plan [Section 477(b)(3)(E)];
5. The Tribe will make every effort to coordinate the Tribal programs receiving funds provided from an allotment made to the Tribe with other Federal, State and Tribal programs for youth (especially transitional living youth projects funded under part B of title III of the Juvenile Justice and Delinquency Prevention Act of 1974); abstinence education programs, local housing programs, programs for disabled youth (especially sheltered workshops), and school-to-work programs offered by high schools or local workforce agencies [Section 477(b)(3)(F)];
6. Adolescents participating in the program under this section will participate directly in designing their own program activities that prepare them for independent living and the adolescents will be required to accept personal responsibility for living up to their part of the program [Section 477(b)(3)(H)]; and
7. The Tribe has established and will enforce standards and procedures to prevent fraud and abuse in the programs carried out under the plan [Section 477(b)(3)(I)].
8. The Tribe will ensure that an adolescent participating in this program is provided with education about the importance of designating another individual to make health care treatment decisions on behalf of the adolescent if the adolescent becomes unable to participate in such decisions and the adolescent does not have or does not want, a relative who would otherwise be authorized under applicable State or tribal law to make such decisions, whether a health care power of attorney, health care proxy or other similar document is recognized under applicable State or tribal law, and how to execute such document if the adolescent wants to do so [Section 477(b)(3)(K)].

FOR TRIBES APPROVED TO OPERATE TITLE IV-E DIRECTLY ONLY:

9. The Tribe will use training funds provided under the program of Federal payments for foster care and adoption assistance to provide training to help foster parents, adoptive parents, workers in group homes, and case managers understand and address the issues confronting adolescents preparing for independent living, and will, to the extent possible, coordinate such training with the independent living program conducted for adolescents [Section 477(b)(3)(D)];

Signature of Tribal Leader

Date

**Tribal Leader's Certification
for the
Education and Training Voucher Program
Chafee Foster Care Independence Program**

As Tribal Leader of the Tribe, Tribal Organization or Tribal Consortium of _____, I certify that the Tribe is either operating a title IV-E program under section 479B of the Act, or is receiving funding to provide foster care under part E of the Act pursuant to a cooperative agreement or contract with a state and has in effect and is operating an areawide program relating to the Chafee Foster Care Independence Program pursuant to section 477(j)(2) and that the following provisions will be implemented:

- The Tribe will comply with the conditions specified in subsection 477(i).
- The Tribe has described methods it will use to:
 - ensure that the total amount of educational assistance to a youth under this and any other Federal assistance program does not exceed the total cost of attendance; and
 - avoid duplication of benefits under this and any other Federal assistance program, as defined in section 477(b)(3)(J).

Signature of Tribal Leader

Date

CB Regional Program Managers

I	Region I - Boston Bob Cavanaugh bob.cavanaugh@acf.hhs.gov JFK Federal Building, Rm. 2000 Boston, MA 02203 (617) 565-1020 States: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont	VI	Region VI - Dallas Janis Brown janis.brown@acf.hhs.gov 1301 Young Street, Suite 945 Dallas, TX 75202-5433 (214) 767-8466 States: Arkansas, Louisiana, New Mexico, Oklahoma, Texas
II	Region II - New York City Alfonso Nicholas alfonso.nicholas@acf.hhs.gov 26 Federal Plaza, Rm. 4114 New York, NY 10278 (212) 264-2890, x 145 States and Territories: New Jersey, New York, Puerto Rico, Virgin Islands	VII	Region VII - Kansas City Kendall Darling, Acting kendall.darling@acf.hhs.gov Federal Office Building Room 276 601 E 12th Street Kansas City, MO 64106 (816) 426-2262 States: Iowa, Kansas, Missouri, Nebraska
III	Region III - Philadelphia Lisa Pearson lisa.pearson@acf.hhs.gov 150 S. Independence Mall West - Suite 864 Philadelphia, PA 19106-3499 (215) 861-4030 States: Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia	VIII	Region VIII - Denver Marilyn Kennerson marilyn.kennerson@acf.hhs.gov Federal Office Building 999 18 th Street---South Terrace Suite 499 Denver, Colorado 80202 (303) 844-3100 States: Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming
IV	Region IV - Atlanta Paul Kirisitz, Acting paul.kirisitz@acf.hhs.gov Portals Building 8 th Floor, Suite 8110 West 1250 Maryland Avenue Washington, DC 20224 (202) 205-6733 States: Alabama, Mississippi, Florida, North Carolina, Georgia, South Carolina, Kentucky, Tennessee	IX	Region IX - San Francisco Douglas Southard douglas.southard@acf.hhs.gov 90 7 th Street - 9 th Floor San Francisco, CA 94103 (415) 437-8425 States and Territories: Arizona, California, Hawaii, Nevada, Outer Pacific—American Samoa Commonwealth of the Northern Marianas, Federated States of Micronesia (Chuuk, Pohnpei, Yap) Guam, Marshall Islands, Palau
V	Region V - Chicago Angela Green angela.green@acf.hhs.gov 233 N. Michigan Avenue Suite 400 Chicago, IL 60601 (312) 353-9672 States: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin	X	Region X - Seattle Tina Minor tina.minor@acf.hhs.gov 2201 Sixth Avenue, Suite 300, MS-70 Seattle, WA 98121 (206) 615-3657 States: Alaska, Idaho, Oregon, Washington

Attachment E - Tribes

Current Variances in Title IV-B Provisions of Law And Regulation

I. Title IV-B Requirements in Statute but not Regulations^{*}

A. Title IV-B, subpart 1.

1. Program Name Changed to Stephanie Tubbs Jones Child Welfare Services Program.

This change occurred when the Child Welfare Services program was amended by the Fostering Connections to Success and Increasing Adoptions Act of 2008 (Public Law 110-351).

2. Authorization for Funding.

The Child and Family Services Improvement Act of 2006 (Public Law 109-288) removed section 420 of the Social Security Act (Act) as well as the permanent authorization in section 421 of the Act, which changed title IV-B subpart 1 from a permanent authorization to a five-year authorization. This change supersedes the allocation regulated in 45 CFR 1357.30(b). In addition, instead of spending funds according to a definition of “child welfare services” in former section 425(a)(1) of the Act and 45 CFR 1357.10(c), funds must now be spent in accordance with the new program purpose added by Public Law 109-288 which can be found at 421 of the Act.

3. Assurance Regarding Use of Cross-Jurisdictional Resources.

The Safe and Timely Interstate Placement of Foster Children Act of 2006 (Public Law 109-239) requires that title IV-B plans for child welfare services contain assurances that the State will make effective use of cross-jurisdictional resources to facilitate timely adoptive or permanent placements for children.

4. Assurance Regarding Administrative Costs.

Public Law 109-288 added a new section 422(b)(14) of the Act to title IV-B subpart 1, requiring grantees to assure that not more than 10 percent of expenditures related to activities funded from IV-B, subpart 1 will be used for administrative costs. Public Law 109-288 also added a definition of “administrative costs” at section 422(c)(1) of the Act.

5. Consultation with Medical Professionals.

Section 422(b)(15) of title IV-B, subpart 1 has been amended three separate times since 2008 (Public Laws 112-34, 111-148 and 110-351). Under the provision, title IV-B agencies must coordinate with other agencies, pediatricians and other experts in health care and child welfare services to develop a plan for the ongoing oversight and coordination of health care services for children in foster care. The plan must include a

^{*} This section describes new requirements in title IV-B of the Social Security Act that are relevant to the Child and Family Services Plan and the Annual Progress and Services Report. This appendix may not include all amendments to title IV-B requirements.

strategy to identify and respond to the health care needs of children in foster care placements, including mental health and dental health care needs and shall include an outline of:

- a schedule initial and follow-up health screenings,
- how the health needs identified through the screenings will be monitored and treated, including emotional trauma associated with a child's maltreatment and removal from home
- how medical information for children in care will be updated and appropriately shared, which may include development and implementation of an electronic health record,
- steps to ensure continuity of care, which may include establishment of a medical home for every child in foster care
- oversight of prescription medicines and protocols for the appropriate use and monitoring of psychotropic medications, as part of its current oversight of prescription medicines (sections 422(b)(15)(A)(ii) and (v) of the Act),
- how the title IV-B agency actively consults with and involves medical and non-medical professionals in assessing the health and well-being of children in care and determining the appropriate medical treatment, and
- steps to ensure that the health care needs of children aging out of foster care in accordance with section 475(5)(H) of the Act, including options for health insurance, information about a health care power of attorney, health care proxy, or other similar document recognized under State law, and to providing the child with the option to execute such a document.

6. Disaster Response Procedures.

Public Law 109-288 added a new section 422(b)(16) of the Act requiring grantees to provide a description and plan of their disaster response procedures.

7. Caseworker Visits.

Public Law 109-288 added a new section 422(b)(17) to title IV-B, subpart 1 requiring that State and Tribal title IV-B agencies describe the standards for content and frequency of caseworker visits for children in foster care.

8. Collaboration with State or Tribal Courts.

The Deficit Reduction Act of 2005 (Public Law 109-171) added section 422(b)(13) to the Act to require that grantees demonstrate substantial, ongoing, and meaningful collaboration with State or Tribal courts in the development and implementation of the title IV-B plan.

9. Case review system.

Credit Reports for Youth in Foster Care

Section 422(b)(8) of the Act requires title IV-B agencies to comply with case review system in section 475 of the Act. Public Law 112-34 amends the case review system definition to require that each child age 16 and older in foster care receives a copy of any consumer credit report each year until discharged from foster care, and must be assisted

in interpreting the credit report and resolving any inaccuracies (section 475(5)(I) of the Act).

Educational Stability Case Plan Requirement

Public Law 112-34 requires titles IV-B/IV-E agencies to meet the educational stability case plan requirement at the time of each placement change, not just at initial placement into foster care as was the original requirement under Public Law 110-351 (section 475(1)(G) of the Act).

10. Children under 5.

Public Law 112-34 added section 422(b)(18) to the Act requiring State and Tribal title IV-B agencies to describe in their title IV-B plan:

- A description of the activities undertaken by the State to reduce the length of time that young children under the age of five are in foster care without a permanent family; and
- A description of the activities the State undertakes to address the developmental needs of children under the age of five who receive services under the title IV-B or IV-E programs.

11. Diligent Recruitment

Public Law 103-382 amended section 422(b) of the Act to require that title IV-B agencies provide for the diligent recruitment of potential foster and adoptive families that reflect the ethnic and racial diversity of children for whom foster and adoptive homes are needed.

B. Title IV-B, subpart 2.

1. Program Name Changed to Promoting Safe and Stable Families.

This change occurred when the original Family Preservation and Family Support program was expanded by Public Law 105-89. Funding was also increased at that time and also with the program reauthorization in 2001 (section 430(a) of the Act).

2. Addition of Language to subpart 2 Definitions of the Service Categories re: Infant Safe-Haven Programs, Strengthening Parental Relationships, and Promotion of Healthy Marriages.

Public Law 107-133 amended the definition of Family Preservation Services in Section 431(a)(1)(F) of the Act to allow grantees to support infant safe haven programs to allow a parent to safely relinquish a newborn infant. The law also added to the definition of Family Support Services. Specifically, grantees may support services to strengthen parental relationships and promote healthy marriages (section 431(a)(2) of the Act). The definitions for family preservation and family support services in the regulations at 45 CFR 1357.10 should be read in conjunction with these statutory definitions.

3. Addition of Service Categories of Time-Limited Reunification Services and Adoption Promotion and Support.

The 1997 reauthorization of the title IV-B, subpart 2 program (Public Law 105-89) affirmed the use of Federal funds for community-based family support services and family preservation services. It also required grantees to spend a portion of funds for time-limited family reunification services and adoption support services. The two latter categories allow grantees to fund services that facilitate the reunification of children in foster care with their families in a timely and safe manner, and encourage more adoptions out of the foster care system, expedite the adoption process, and support adoptive families as necessary to allow them to make a lifetime commitment to their children (sections 431(a)(7) and (8) of the Act). In general, requirements in the regulations related to family preservation and support only should be taken to mean all four allowable service categories.

4. Revised Definitions.

Family support services.

Public Law 112-34 amended the definition of family support services to add mentoring as an allowable purpose (section 431(a)(2) of the Act).

Time limited reunification services.

Public Law 112-34 amended the definition of time limited reunification services to allow peer-to-peer mentoring and support groups for parents and primary caregivers, as well as services and activities to facilitate access to and visitation of children with parents and siblings (sections 431(a)(7) of the Act);

Indian Tribe and Tribal organization.

Public Law 112-34 amended the definitions of “Indian Tribe” and “Tribal organization” to conform to the definitions used in the Indian Self-Determination and Education Assistance Act. This makes the definitions of “Indian Tribe” and “Tribal organization” consistent across the title IV-B, subparts 1 and 2 programs (sections 431(a)(5) and (6) of the Act).

5. Expenditure Reports.

Public Law 109-288 amended sections 432(a)(8)(B) and (c) in subpart 2 of the Act to require that States and Tribes submit their planned child and family services expenditures for the next fiscal year, as well as actual expenditures for the preceding fiscal year along with numbers of families and children served, the population served and geographic areas served by agency. These amended sections amend the regulatory provisions in 45 CFR 1357.16(b).

6. Secretarial Authority to Exempt Tribes on Administrative Cost and Significant Portion Limitations.

Public Law 109-288 amended section 432(b)(2) of the Act by permitting the Secretary to exempt Indian Tribes from the two requirements in sec 432(a)(4) of the Act only: 1) limiting 10 percent of its total expenditures of each fiscal year’s total title IV-B, subpart 2 funds for administrative costs; and 2) spending a significant portion of funds on each of the four service categories. This supersedes the Secretary’s authority to waive other State plan requirements as stated in 45 CFR 1357.50(f)(2). ACF is exercising the statutory

authority to waive the two provisions; however, Tribes are now required to assure that subpart 2 funds will not be used to supplant Federal or non-Federal funds expended under subpart 2.

7. Re-allotments of Title IV-B, subpart 2 Funds.

The Promoting Safe and Stable Families Amendments of 2001 (Public Law 107-133) added Section 433(d) of the Act to allow the Secretary to re-allot unneeded portions of title IV-B, subpart 2 allocations to other grantees, so that the total appropriation remains available for program purposes. The grantee must certify that grant funds are not needed before they can be re-allotted.

8. Risk of maltreatment.

Public Law 112-34 added section 432(a)(10) to the Act requiring the State or Tribal IV-B to identify and describe which populations are at the greatest risk of maltreatment, how the State/Tribe identified these populations, and how services are targeted to those populations.

C. Federal Program Name Changes.

Since the regulations were issued, a number of Federal programs referenced in the regulations have undergone significant changes, including name changes. States should note the following name changes in meeting the consultation requirements in 45 CFR 1357.15(l)(3)(viii):

1. "Part H programs" are now programs under Part C of the Individuals with Disabilities Education Assistance (IDEA) Act
2. "Title IV-A" is also known as the Temporary Assistance for Needy Families program (TANF)
3. The "child care and development block grant (CCDBG)" is also known as the Child Care Development Fund (CCDF)
4. "Community-Based Family Resource Programs" are now known as either Title II programs under the Child Abuse Prevention and Treatment Act (CAPTA) or Community-Based Grants for the Prevention of Child Abuse.
5. The Food Stamp Program is now known as the Supplemental Nutrition Assistance Program (SNAP).

II. Obsolete Title IV-B Provisions Still in Regulation[†]

A. References to Dates and Submission Timeframes that Have Passed.

1. The regulations at 45 CFR 1357.15 refer to numerous timelines that have passed. This is because the regulation was based on the initial Family Preservation and Family Support legislation. With the exception of the obsolete requirements as indicated, **the required elements of the APSRs and CFSPs apply on an annual or five-year cycle as applicable, regardless of the dates listed.**

[†] This section describes obsolete requirements in the regulations at 45 CFR 1357 that are relevant to the Child and Family Services Plan and the Annual Progress and Services Report. This appendix may not include all obsolete requirements.

2. Phase-in Expired.

At 45 CFR 1357.15(a)(4), there is reference to the phase-in of the requirements for a consolidated CFSP by June 30, 1997. The phase-in period has expired and consolidation of the CFSP is now required. See also similar references for phasing in consolidation of the CFSP with expired dates in 45 CFR 1357.15 (b)(2), (3), (5), (7), (k)(1) 1357.16(a)(7), and 1357.16(b) (4), (c), (e) and (f).

B. Assurances.

1. Title IV-B, subpart 1 Reference to Child Care Standards Removed.

Public Law 109-288 removed the provisions in section 422(b)(3) of the Act that required States and Tribes to assure that day care facility standards and requirements correspond with the child care standards imposed under title XX. Therefore, the regulatory provision at 45 CFR 1357.15(c)(4) is obsolete.

2. Title IV-B, subpart 1 Training and Use of Professional Staff and Volunteers Provisions Removed.

Public Law 109-288 removed the assurance in section 422(b)(4) of the Act requiring States and Tribes to assure they will have a plan for the training and use of paid paraprofessional staff and for the use of partially paid or unpaid volunteers in providing services and assisting any advisory committees established by the State or Tribe. Therefore, the regulatory provision at 45 CFR 1357.15(c)(3) regarding training and use of professional staff and volunteers is obsolete.

3. References to Title IV-B, subpart 1 Assurances in Section 422(b)(9) of the Act.

The regulation at 45 CFR 1357.15(q) requires the CFSP to explain how services will help meet permanency provisions for children and families at section 422(b)(9) of the Act, but the actual section of the Act that addresses this issue is now located at section 422(b)(8) of the Act.

C. Other Obsolete Provisions.

1. Authority for Requirements and Funding Formerly under Section 427 of the Act.

The obsolete regulation is 45 CFR 1357.25. Section 427 was added to title IV-B by the Adoption Assistance and Child Welfare Act of 1980 (Public Law 96-272). The section offered incentive funds to States and Indian Tribes if they provided certain protections for children in foster care. Public Law 103-432 then repealed section 427 of the Act effective for fiscal years beginning after April 1, 1996. Public Law 110-351 added a new section 427 of the Act to authorize family connection grants.

2. Title IV-B, subpart 1 Funding Authorization.

Public Law 109-288 removed section 420 of the Act as well as the permanent authorization in section 421 of the Act, which changed title IV-B subpart 1 from a permanent authorization to a five-year authorization. This change supersedes the allocation regulated in 45 CFR 1357.30(b). In addition, instead of spending funds according to a definition of “child welfare services” in former section 425(a)(1) of the Act and 45 CFR 1357.10(c), funds must be spent in accordance with the new program purposes in section 421 of the Act, added by Public Law 109-288.

3. Expanded Definition of Non-Federal Funds for Non-Supplantation Provision.

Public Law 105-89 added a definition of non-Federal funds for purposes of the title IV-B, subpart 2 non-supplantation requirement at 432(a)(7)(A) of the Act. Non-federal funds are defined as State funds, or at State option, State and local funds in section 431(a)(9) of the Act. This means that a State may consider the amount of both local and State funds spent on Promoting Safe and Stable Families Program services in determining which funds may not be supplanted by Federal funds. This statutory definition of non-Federal funds at section 431(a)(9) of the Act supersedes the regulatory definition in 45 CFR 1357.32(f).

4. Redesignation of Payments and Allotments.

Public Law 109-288 amended provisions for payments and allotments by redesignating section 421 of the Act as section 423 of the Act and section 423 of the Act as section 424 of the Act. Throughout the regulatory provisions found at 45 CFR 1357, statutory references to section 421 of the Act should be references to section 423 of the Act. Statutory references to section 423 of the Act should be read as section 424 of the Act.

Attachment F

CERTIFICATION OF TRIBAL POPULATION UNDER AGE 21

The _____ (Tribal Name and State) finds that the Census Bureau year 2010 under age 21 population for Tribes data do not accurately reflect the actual number of children in our Tribe.

The correct number of Tribal members under age 21 is _____ .

The reason that our population number is different from the Census Bureau is: (attach additional page(s) if necessary)

We understand that information given above will be reviewed by ACF and that we may be required to submit additional supporting documentation as requested by ACF to substantiate this notification of change in population data.

We are submitting this information and certify that it is accurate and true to the best of our knowledge and belief. This certification requires the signatures of both the Tribal enrollment coordinator and Tribal leader responsible for ACF programs.

Tribal Enrollment Coordinator

Date

Tribal Leader

Date

Attachment G

Name of Tribe: _____ **Training Plan**

For each training activity, describe the activity and then complete the information as requested. Additional rows can be added as necessary. For additional information see Section C of Program Instruction ACYF-CB-PI-13-03. Use of this template is optional.

[illegible]

Attachment G

Course Name & Description (Provide a brief paragraph identifying the title and course content of the training activity. Indicate the purpose of the training and whether it is part of an initial in-service training program for new agency staff.)	IV-E Admin functions addressed (List any of the closely related functions specified at 45 CFR 1356.60(a)(1) and (2).)	Non-IV-E Admin functions addressed (List any other functions covered in the training.)	Setting/venue and Sponsorship (Agency or Outside Educational Institution.)	Design (i.e. short-term; long-term; part-time; full-time.)	Provider (Identify the IV-E agency component or other organization providing the training.)	Duration (Approximate number of days/hours of training)	Audience Show staff by unit and title; separately identify other participants.	Estimated Cost (Expected total/gross cost of development and delivery of training.)	Estimated IV-E Portion (Show expected percentage or if a specific percentage is not known, describe the allocation methodology from the Tribe’s approved CAM that will determine the allocation of costs to title IV-E training, title IV-E administration and other benefitting Federal or tribal programs.)

Attachment H

Optional Template for a Tribal Child and Family Services Plan / Annual Progress and Services Report For Federal Fiscal Years 2015 - 2019

Name of Tribe: _____

This template is provided as *an option* for tribes to use over the course of the five years that the Tribal Child and Family Services Plan (CFSP) is in effect. It allows for annual updates as the program instruction (PI) is issued each year and for changes the tribes makes to its plan during any of the years. In the future, if there is a change in requirements, CB will issue an additional page(s) as an attachment to the template which can then be attached to your Annual Progress and Services Report (APSR) for the year that the information is due. Use as much space as needed since sections will expand.

Instructions: The requirements listed in each block below follow the requirements listed in ACYF-CB-PI-14-04 so that one can fill in the information starting with the CFSP that is due by June 30, 2014. If your tribe chooses to use the template, complete the CFSP-2015 section for each requirement providing as much detail as necessary. For the submission due June 30, 2014, leave all the APSR sections blank as each one will be completed by you in each of the upcoming four years in the five-year cycle to report your progress in implementing the CFSP. Any additional instructions necessary to complete those sections will be provided in future years.

Notes:

- The final report for Fiscal Years 2009-2014 is to be submitted separate from this template.
- Tribes interested in applying for the Chafee Foster Care Independence (CFCIP) and/or Education and Training Vouchers (ETV) Programs must submit that plan separate from this template.

Tribal Agency Administering the Programs (45 CFR 1357.15(f))

- Identify the tribal agency that will administer the title IV-B (and, if applicable, CFCIP and ETV) programs under the plan. The same agency is required to administer or supervise the administration of all programs under titles IV-B and IV-E of the Act.
- Describe the organization and function of the office or organizational unit responsible for operation and administration of the CFSP.

<ul style="list-style-type: none"> • Provide an organizational chart and a description of how that office relates to tribal and other offices operating or administering service programs within the tribal service area.
CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:
Vision Statement (45 CFR 1357.15(g))
Provide a vision statement that articulates the tribe's philosophy of providing child and family services and developing or improving a coordinated service delivery system. The vision should reflect the service principles cited at the beginning of Section D of this PI. (The service principles are also found in Federal regulations at 45 CFR 1355.25.)
CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:
Goals, Objectives and Measures of Progress
Goals (45 CFR 1357.15(h)): Specify the goals that will be accomplished during and by the end of the five-year period of the plan. Express the goals in terms of improved outcomes for the safety, permanency and well-being of children and families, and a more comprehensive, coordinated, and effective child and family service delivery system. <i>(Additional information: "Goals" are generally broad, positive statements, expressed in terms of improved outcomes that</i>

identify the population or group that will benefit. For example, a goal might be stated as, “Children who have been victims of abuse or neglect will not experience repeat maltreatment in their homes.”)

Objectives (45 CFR 1357.15(i)): Identify realistic, specific, quantifiable and measurable objectives that will be carried out to achieve each goal. Each objective should focus on outcomes for children, youth and/or families or on elements of service delivery (such as quality) that are linked to outcomes in important ways. Each objective should include both interim benchmarks and a long-term timetable, as appropriate, for achieving the objective. The CFSP must include objectives to make progress in reaching additional children in need of services, expanding and strengthening the range of existing services, and developing new types of services.

(Additional information: “Objectives” may be thought of as the strategies or initiatives that will help achieve the goal, while “benchmarks” represent key implementation milestones. For example an objective for the goal of reducing repeat maltreatment might be to “Increase the number of families that receive high quality family preservation services,” and benchmarks might include hiring staff, completing training, developing referral procedures, implementing assessment tools or other concrete action steps.)

Measures of progress (45 CFR 1357.15(j)): Describe how the tribe will measure the results, accomplishments, and annual progress toward meeting the goals and objectives. Specify the processes and procedures the tribe will use to assure use of valid and reliable data and information. The data and information must be capable of determining whether or not the interim benchmarks and multi-year timetable for accomplishing CFSP goals and objectives are being met.

(Additional information: In order to know whether the tribe is being successful in achieving its goals and objectives, it is important to identify sources of data that can be used to measure progress. This type of information may come from data that is collected by the tribe, by the state or could be information gathered through surveys or other approaches. Whatever measures the tribe identifies, it is important to establish procedures upfront to assure that data will be available on a timely and ongoing basis.)

NOTE: Additional rows can be added for additional Goals, Objectives and Measures of Progress

CFSP – 2015 Goal #1:	Objective(s):	Measures of Progress:

Goal #2:	Objective(s):	Measures of Progress:
APSR - 2016:		
APSR - 2017:		
APSR - 2018:		
APSR - 2019:		
<p style="text-align: center;">Consultation and Coordination (45 CFR 1357.15(l) and (m))</p> <ul style="list-style-type: none"> Describe the consultation process the tribe has determined is appropriate to meet its needs and circumstances in order to obtain the active involvement of the offices responsible for providing child and family services within the tribe's area of jurisdiction. The consultation process must include all the appropriate offices or agencies responsible for child and family services (including child protective services, in-homes services, foster care, guardianship, adoption and independent living services) in the tribe's service area. Discuss how the tribe has involved the tribal and/or state courts and court improvement programs in the CFSP plan development. Discuss how the tribe has engaged stakeholders, including: families and youth, other agencies including the state child welfare agency; other federally-funded programs operated by the tribe or state, e.g. Temporary Assistance for Needy Families (TANF), Medicaid, Child Care, Head Start, Supplemental Nutrition Assistance Program (SNAP) (formerly known as the Food Stamps program), and Community-Based Child Abuse Prevention (CBCAP) programs; private agencies providing services to children and families, and community-based public and private providers for programs such as substance abuse treatment domestic violence, behavioral health, schools, developmental disability, etc. Describe how services under the plan will be coordinated over the five-year period with services or benefits under other federal or federally-assisted child and family services or programs serving the same populations to achieve the goals and objectives in the plan. 		
CFSP - 2015:		
APSR - 2016:		

APSR - 2017:
APSR - 2018:
APSR - 2019:
Service Description (45 CFR 1357.15(n))
Reminder: Provide the estimated expenditures for all services described below on the CFS-101.
<ul style="list-style-type: none"> Describe the services the tribe plans to provide under title IV-B, subpart 1 and under each category of title IV-B, subpart 2: family preservation; family support; time-limited family reunification; and adoption promotion and support services.
CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:
<ul style="list-style-type: none"> Describe the services currently available to families and children; the extent to which each service is available and being provided in different geographic areas and to different types of families; and important gaps in service, including mismatches between available services and family needs as identified by baseline data and the consultation process.
CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:
<ul style="list-style-type: none"> Describe the tribe's standards for the content and frequency of caseworker visits for children who are in foster care under the responsibility of the tribe, which, at a minimum, ensure that the children are visited on a monthly basis and that caseworker visits are well-planned and focused on issues pertinent to case planning and service delivery to ensure the safety, permanency and well-being of the children. If the tribe does not operate a foster care program, this provision is not applicable; please simply note that the tribe does not operate a foster care program (Section 422(b)(17)).

Complete this section if the tribe operates a foster care program.		For tribes that do not operate a foster care program, please note this fact in this section.
CFSP - 2015:		
APSR - 2016:		
APSR - 2017:		
APSR - 2018:		
APSR - 2019:		
<ul style="list-style-type: none"> Describe the activities the tribe has undertaken and plans to undertake to reduce the length of time that young children under age five are in foster care without a permanent family. Also, describe the activities the tribe undertakes to address the developmental needs of children under the age of five who receive services under the title IV-B or IV-E programs (section 422(b)(18) of the Act). (Note: This requirement applies to all children under age five in foster care, regardless of the child's permanency plan, legal or placement status.) 		
Complete this section if the tribe operates a foster care program. Describe the activities the tribe has undertaken and plans to undertake to reduce the length of time that young children under age five are in foster care without a permanent family. Also, describe the activities the tribe undertakes to address the developmental needs of children under the age of five who receive services under the title IV-B or IV-E programs (section 422(b)(18) of the Act). (Note: This requirement applies to all children under age five in foster care, regardless of the child's permanency plan, legal or placement status.)		Complete this section if the tribe does not directly operate a foster care program. For Tribe that do not directly operate a foster care program and whose children are served by the State agency, describe the role of the Tribe in working with the State to address these requirements reliant to meeting the needs of young children in foster care.
CFSP - 2015:		
APSR - 2016:		
APSR - 2017:		
APSR - 2018:		
CFSP - 2015:		

<ul style="list-style-type: none"> For tribes applying to receive funding under title IV-B, subpart 2, describe how the tribe identifies which populations are at the greatest risk of maltreatment and how the tribe targets services to the populations at greatest risk of maltreatment (section 432(a)(10) of the Act).
CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:
<ul style="list-style-type: none"> Present information on the estimated number of individuals and families to be served; the population to be served; and the geographic areas where the services will be available for each described service. This information must be recorded on the CFS-101 part II and may also be further explained in the narrative portion of the plan.
CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:
<p style="text-align: center;">Consultation Between States and Tribes</p> <p>Federal law and regulations require consultation between states and tribes on several key child welfare issues, including compliance with the Indian Child Welfare Act; the arrangements for providing services in relation to permanency planning for tribal children, whether under the jurisdiction of the state or tribe; and the provision of independent living services under the CFCIP. In the CFSP, tribes are to address the following:</p>
<ul style="list-style-type: none"> Section 422(b) (9) of the Act requires states to consult with tribes and to describe the specific measures taken to comply with the Indian Child Welfare Act (ICWA). Describe how the state(s) in which the tribe is located or any other states have consulted with the tribe regarding state compliance with ICWA and any planned changes in policies, procedures or communications to strengthen compliance. Describe any concerns with respect to the states' consultation process or compliance with ICWA.

CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:
<ul style="list-style-type: none"> Provide a description of the understanding, gathered from discussions between the state and tribe, as to who is responsible for providing the child welfare services and protections for tribal children delineated at Section 422(b)(8) of the Act, whether they are under state or tribal jurisdiction. These services and protections include operation of a case review system for children in foster care; a preplacement preventive services program; and a service program for children in foster care to facilitate reunification with their families, when safe and appropriate, or to place a child in an adoptive home, legal guardianship or other planned, permanent living arrangement. In describing roles with respect to the case review system, please discuss whether and how the state and tribe have addressed the requirement to obtain credit reports for tribal children ages 16 and older in foster care, as required by section 475(5)(I) of the Act, and any challenges that have been encountered in this process (45 CFR 1357.15 (q)).
CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:
<ul style="list-style-type: none"> Describe how the tribe has been consulted about the programs to be carried out by the state under the CFCIP and explain the results of the consultation specifically as it relates to determining eligibility for benefits and services and ensuring fair and equitable treatment for Indian youth under the CFCIP (Section 477(b)(3)(G) of the Act).
CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:

Diligent Recruitment of Potential Foster and Adoptive Parents

For tribes that operate foster care programs:

- Describe the tribe's plan for the diligent recruitment of potential foster and adoptive families that reflect the ethnic and racial diversity of children in the tribe for whom foster and adoptive homes are needed (section 422(b)(7) of the Act). Examples of components to include in the diligent recruitment plan are: a description of the characteristics of children for whom foster and adoptive homes are needed; specific strategies to reach all parts of the community; strategies for assuring that all prospective parents have access to the home study process; and strategies for training staff and community partners.

For tribes that do not directly operate a foster care program and whose children are served by the state agency:

- Describe any activities the tribe is undertaking in coordination with the state agency to support diligent recruitment of foster and adoptive families.

CB funds the National Resource Center for Diligent Recruitment at AdoptUSKids to provide free services and materials to support states, tribes, territories, and courts as they recruit foster, adoptive, and kinship families and make lasting systemic changes to improve safety, permanency, and well-being outcomes for children and families. For additional information on diligent recruitment and a customizable tool to use in developing a diligent recruitment plan, please see <http://www.nrcdr.org>.

For Tribes applying for title IV-B, subpart 1, who have Tribal children in foster care:

Describe the tribe's plan for the diligent recruitment of potential foster and adoptive families that reflect the ethnic and racial diversity of children in the tribe for whom foster and adoptive homes are needed (section 422(b)(7) of the Act).

For Tribes that do not directly operate a foster care program and whose children are served by the State agency:

Describe any activities the Tribe is undertaking in coordination with the State agency to support diligent recruitment of foster and adoptive families.

CFSP - 2015:

APSR - 2016:	
APSR - 2017:	
APSR - 2018:	
APSR - 2019:	

Health Care Oversight and Coordination Plan

Section 422(b)(15)(A) requires states and tribes to develop a plan for ongoing oversight and coordination of health care services for children in foster care, including their physical, mental, behavioral and dental health needs. This plan must be developed in coordination with the state title XIX (Medicaid) agency, and in consultation with pediatricians and other experts in health care, as well experts in and recipients of child welfare services.

Tribes are required to address how the Health Care Oversight and Coordination plan requirements are being met for tribal children in foster care. For tribes that do not directly operate a foster care program and whose children are served by the state agency, note the responsibility of the state for developing the Health Care Coordination Plan and for providing health care information as part of the transition plan development process and address any tribal involvement in those processes.

Tribes that operate a foster care program are required to submit a Health Care Oversight and Coordination Plan that includes an outline of:

- A schedule for initial and follow-up health screenings that meet reasonable standards of medical practice;
- How health needs identified through screenings will be monitored and treated, including emotional trauma associated with a child's maltreatment and removal from home;
- How medical information will be updated and appropriately shared, which may include the development and implementation of an electronic health record;
- Steps to ensure continuity of health care services, which may include establishing a medical home for every child in care;
- The oversight of prescription medicines, including protocols for the appropriate use and monitoring of psychotropic medications;

- How the tribe actively consults with and involves physicians or other appropriate medical or non-medical professionals in assessing the health and well-being of children in foster care and determining appropriate medical treatment; and
- Steps to ensure that the components of the transition plan development process required under section 475(5)(H) that relate to the health care needs of children aging out of foster care, including the new requirement to include options for health insurance, information about a health care power of attorney, health care proxy, or other similar document recognized under State law, and to provide the child with the option to execute such a document, are met.

Tribes that previously developed a Health Care Oversight and Coordination Plan are required to review the previously submitted plan, make any needed changes, and submit the plan with the CFSP, whether or not changes were made from the previously submitted version.

For Tribes applying for title IV-B, subpart 1, who have Tribal children in foster care:

Either insert the plan here or include as an attachment.

For tribes that do not directly operate a foster care program and whose children are served by the State agency, note the responsibility of the state for developing the Health Care Coordination Plan and for providing health care information as part of the transition plan development process and address any tribal involvement in those processes.

CFSP - 2015:

APSR - 2016:

APSR - 2017:

APSR - 2018:

APSR - 2019:

Disaster Plans

Section 422(b)(16) requires tribes to have in place procedures providing for how the tribal programs assisted under title IV-B or IV-E of the Act would respond to a disaster. In the CFSP, the tribe must include a plan for how it would:

- Identify, locate, and continue availability of services for children under tribal care or supervision who are displaced or adversely affected by a disaster;
- Respond, as appropriate, to new child welfare cases in areas adversely affected by a disaster, and provide services to those cases;
- Remain in communication with caseworkers and other essential child welfare personnel who are displaced because of a disaster;
- Preserve essential program records; and
- Coordinate services and share information with states and other tribes.

Tribes are required to review their previously submitted disaster plan, make any needed changes, and submit the disaster plan with the CFSP, regardless of whether changes were made to the plan.

Insert plan below or submit as an attachment.

CFSP - 2015:

APSR - 2016:

APSR - 2017:

APSR - 2018:

APSR - 2019:

Training

For all tribes submitting a CFSP, discuss the tribe's child welfare services staff development and training plan in support of the goals and objectives of the CFSP which addresses the title IV-B programs covered by the plan.

Tribes with an approved title IV-E plan to operate directly a foster care, adoption assistance and, at the tribe's option, guardianship assistance program, are required to submit a combined title IV-B/ IV-E training plan, as required by 45 CFR 1356.60(b)(2). Information is included in Section E and Attachment G that describes the more detailed information that will

<p>need to be included in a combined title IV-B/IV-E training plan. This information is provided to assist tribes operating or preparing to operate a title IV-E program only.</p> <p>Tribes who intend to submit a title IV–E plan to operate directly a foster care, adoption assistance and, at the tribe’s option, guardianship assistance program in the future are not required to submit the title IV-E training plan with the CFSP due on June 30, 2014, but if approved to operate a title IV-E program must submit such information prior to submitting claims under title IV-E for reimbursement of allowable training costs.</p>
CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:
<p style="text-align: center;">Technical Assistance</p> <p>Discuss any technical assistance that the tribe anticipates requesting from the CB’s Training and Technical Assistance network as it implements current or new federal requirements.</p>
CFSP - 2015:
APSR - 2016:
APSR - 2017:
APSR - 2018:
APSR - 2019:
<p style="text-align: center;">Plan Availability</p> <p>The Tribe must make the CFSP available to interested parties. The plan must describe how the CFSP will be made available. States and tribes are to share their plans with each other.</p>
CFSP - 2015:
APSR - 2016:

APSR - 2017:
APSR - 2018:
APSR - 2019:

This space provided for any additional information the tribe may want to include: